

RELEASABLE LINKAGE AND COMPOSITIONS CONTAINING SAME

This application is a continuation-in-part of U.S. Application Serial No.

5 10/371,169, filed February 21, 2003, pending, which is a continuation of U.S. Application Serial No. 09/982,336, filed October 15, 2001, now U.S. Patent No. 6,605,299, which is a continuation of U.S. Application Serial No. 09/556,056, filed April 21, 2000, now U.S. Patent No. 6,342,244, which claims priority to U.S. Provisional Application No. 60/130,897, filed April 23, 1999, all of which are

10 incorporated herein by reference in their entirety.

Field of the Invention

The present invention relates to a conjugate comprising a hydrophilic polymer, such as polyethyleneglycol, cleavably linked to a ligand derived from an 15 amine-, hydroxyl- or carboxyl-containing compound, which in preferred embodiments can be an amine- or hydroxyl-containing lipid, drug or protein. The conjugates are cleavable under mild, physiologically attainable, thiolytic conditions to regenerate the amine-, hydroxyl- or carboxyl-containing compound in its original form.

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Background of the Invention

Hydrophilic polymers, such as polyethylene glycol (PEG), have been used for modification of various substrates, such as polypeptides, drugs and liposomes, in order to reduce immunogenicity of the substrate and/or to improve its blood 25 circulation lifetime (Zalipsky, S. *Adv. Drug Del. Rev.*, 16:157 (1995)).

For example, parenterally administered proteins can be immunogenic and may have a short pharmacological half-life. Proteins can also be relatively water insoluble. Consequently, it can be difficult to achieve therapeutically useful blood levels of the proteins in patients. Conjugation of PEG to proteins has been 30 described as an approach to overcoming these difficulties. Davis *et al.* in U.S. Pat. No. 4,179,337 disclose conjugating PEG to proteins such as enzymes and insulin to form PEG-protein conjugates having less immunogenicity yet which retain a substantial proportion of physiological activity. Veronese *et al.* (*Applied Biochem. and Biotech.*, 11:141-152 (1985)) disclose activating polyethylene glycols with

phenyl chloroformates to modify a ribonuclease and a superoxide dimutase. Katre *et al.*, in U.S. Pat. Nos. 4,766,106 and 4,917,888, disclose solubilizing proteins by polymer conjugation: PEG and other polymers are conjugated to recombinant proteins to reduce immunogenicity and increase half-life. (Nitecki *et al.*, U.S. Pat. No. 4,902,502; Enzon, Inc., PCT/US90/02133). Garman (U.S. Patent No. 4,935,465) describes proteins modified with a water soluble polymer joined to the protein through a reversible linking group.

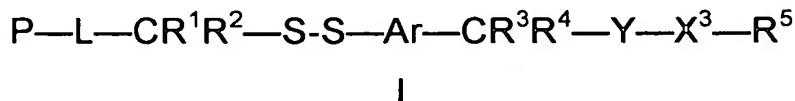
5 However, PEG-protein conjugates described to date suffer from several disadvantages. For example, modification of the protein with PEG often 10 inactivates the protein so that the resulting conjugate has poor biological activity, particularly for proteins that bind to a receptor or act on a macromolecular substrate. One approach to overcoming this problem is to attach the PEG chains 15 at specific sites in the protein, avoiding the binding site to leave it unhindered for interaction. Alternatively, in some cases, the protein binding site can be protected (Caliceti *et al.*, *J. Bioactive Compat. Polym.*, 9:251 (1994)). However, these 20 remedies are often difficult to implement. Typically, PEG in a PEG-protein conjugate is stably linked to the protein so that the beneficial properties provided by PEG remain. This in turn sometimes results in accumulation/vacuolization of conjugates that have difficulty to biodegrade (Bendele, A. *et al.*, *Toxicol. Sci.*, 42:152 (1998)). Having a cleavable linkage between the polymer and protein 25 would alleviate these shortcomings.

PEG has also been described for use in improving the blood circulation lifetime of liposomes (U.S. Patent No. 5,103,556). Here, the PEG is covalently attached to the polar head group of a lipid in order to mask or shield the liposomes 25 from being recognized and removed by the reticuloendothelial system. Liposomes having releasable PEG chains have also been described, where the PEG chain is released from the liposome upon exposure to a suitable stimulus, such as a change in pH (PCT/US97/18813) or thiolysis (Kirpotin, D. *et al.*, *FEBS Letters*, 38:115 (1996)). However, release of the PEG chain from the liposome suffers 30 from the drawback that the decomposition products are chemically modified and can have unpredictable, potentially negative effects *in vivo*.

Summary of the Invention

Accordingly, it is an object of the invention to provide a conjugate having a ligand covalently yet reversibly linked to a hydrophilic polymer. The ligand is derived from an amine-, hydroxy- or carboxyl-containing compound. Upon cleavage of the linkage, the ligand in its native form is regenerated.

In one aspect, the invention includes a conjugate having the general structure I.



10 wherein P is a hydrophilic polymer and L is a linker moiety; R¹, R², R³ and R⁴ are independently selected from the group consisting of H, alkyl, aralkyl and aryl; Ar is an aromatic group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the CR³R⁴—Y bond, via a 1,4-, 1,6- or related elimination reaction involving the bonds of the aromatic group, following cleavage 15 of the S-S bond; Y is a direct bond or -X¹-(C=X²)-, where X¹ and X² are independently O or S; and X³R⁵ is a ligand derived from an amine-, hydroxy- or carboxyl-containing compound, such that X³ is an oxygen or secondary or tertiary nitrogen atom.

In one embodiment, Ar is selected from (i) an aromatic hydrocarbon or a ring nitrogen-containing analog thereof, to which groups S-S and CR³R⁴ are linked in such a configuration that they are separated by an odd number of peripheral ring bonds; (ii) a 5-membered heteroaromatic ring selected from 2,4-imidazolyl, 2,4-thiazolyl, 2,4-oxazolyl, 2,5-pyrrolyl, 2,5-furanyl, and 2,5-thiophenyl; and (iii) a polycyclic aromatic group containing a 5-membered heteroaromatic ring, to which groups S-S and CR³R⁴ are linked in such a configuration that they are separated by a path containing an odd number of peripheral ring bonds, with the proviso that the path does not include an oxygen, sulfur, or trisubstituted nitrogen ring atom.

In one exemplary embodiment, Ar is selected from 1,2-phenyl, 1,4-phenyl, 1, 7-naphthyl, 2,9-anthracyl, and 4,5-phenanthracyl.

30 In another embodiment, the linker moiety L is a direct bond, amine, amide, carbamate, ether, or a carbon chain, where the carbon chain may have one or more functional groups selected from amine, amide, carbamate, and ether, at either terminus of the chain or intervening between carbon atoms of the chain.

In yet another embodiment of the conjugate, Y is O(C=O), and the ligand is derived from an amine-containing compound.

In still another embodiment, R¹ is H and R² is selected from the group consisting of CH₃, C₂H₅ and C₃H₇. In other embodiment, R¹ and/or R² can be a polymer.

The ligand X³R⁵ in various embodiments is a polypeptide, an amine-containing drug, or an amine-containing lipid. When the ligand is an amine-containing lipid, it can be a phospholipid having a double hydrocarbon tail. When the ligand is a polypeptide, it can be a recombinant polypeptide. Exemplary polypeptides include cytokines. Specific exemplary polypeptides are interferons, interleukins, growth factors, erythropoietin, and enzymes.

In another embodiment, R¹ and R² is alkyl.

The hydrophilic polymer P is typically selected from the group consisting of polyvinylpyrrolidone, polyvinylmethylether, polymethyloxazoline, polyethyloxazoline, polyhydroxypropyloxazoline, polyhydroxypropyl-methacrylamide, polymethacrylamide, polydimethyl-acrylamide, polyhydroxypropylmethacrylate, polyhydroxyethylacrylate, hydroxymethylcellulose, hydroxyethylcellulose, polyethylene glycol, polyaspartamide, copolymers thereof, and polyethylene oxide-polypropylene oxide. One preferred polymer is polyethylene glycol.

The conjugate, in another embodiment, contains multiple hydrophilic polymers attached to a polypeptide, each polymer attached by a linkage represented by L—CR¹R²—S—S—Ar—CR³R⁴—Y in structure I. It will be appreciated that a polypeptide can have a mixed population of polymers, some attached by the releasable linkage of structure I and others by a stable, covalent linkage. In particular, the releasable linkages can be on or surrounding sites required for bioactivity.

In other embodiments, the hydrophilic polymer includes a targeting moiety at its free terminus.

In another aspect, the invention includes a composition comprising, in a pharmaceutically acceptable carrier, a conjugate obtainable by reaction of an amine-, hydroxy- or carboxyl-containing compound with a compound having the general structural formula:



II

wherein P is a hydrophilic polymer and L is a linker moiety; R¹, R², R³ and R⁴ are independently selected from the group consisting of H, alkyl, aralkyl and aryl; Ar is an aromatic group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the CR³R⁴—Y bond, via a 1,4-, 1,6- or related elimination reaction involving the bonds of the aromatic group, following cleavage of the S-S bond; Y is a direct bond or -X¹-(C=X²)-, where X¹ and X² are independently O or S; and R⁶ is a leaving group.

In one embodiment, Y is O(C=O) and R⁶ is a hydroxy- or oxy-containing leaving group.

In other embodiments, the leaving group is derived from a compound selected from the group consisting of chloride, *para*-nitrophenol, *ortho*-nitrophenol, N-hydroxy-tetrahydrophthalimide, N-hydroxysuccinimide, N-hydroxy-glutarimide, N-hydroxynorbornene-2,3-dicarboxyimide, 1-hydroxybenzotriazole, 3-hydroxypyridine, 4-hydroxypyridine, 2-hydroxypyridine, 1-hydroxy-6-trifluoromethylbenzotriazole, imidazole, triazole, N-methyl-imidazole, pentafluorophenol, trifluorophenol and trichlorophenol.

The amine-containing compound, in various embodiments, is a phospholipid, a protein, or a drug, or a lipid.

In another embodiment, the composition containing the conjugate comprises a liposome. The liposome may further comprise, in some embodiments, an entrapped therapeutic agent.

In another aspect, the invention includes a liposome composition comprising vesicle-forming lipids and having a surface coating of hydrophilic polymers, wherein at least a portion of the lipids have the general structure:



I

wherein P is a hydrophilic polymer and L is a linker moiety; R¹, R², R³ and R⁴ are independently selected from the group consisting of H, alkyl, aralkyl and aryl; Ar is an aromatic group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the CR³R⁴—Y bond, via a 1,4-, 1,6- or related

elimination reaction involving the bonds of the aromatic group, following cleavage of the S-S bond; Y is a direct bond or $-X^1-(C=X^2)-$, where X^1 and X^2 are independently O or S; and X^3R^5 is a ligand derived from an amine-, hydroxy- or carboxyl-containing compound, such that X^3 is an oxygen or secondary or tertiary 5 nitrogen atom.

The liposomes, in one embodiment, further comprise an entrapped therapeutic agent.

In another embodiment, the liposomes further comprise vesicle-forming lipids stably linked to a hydrophilic polymer, wherein the total mole percent of lipids 10 linked to a hydrophilic polymer is between 1% and about 20%. In another embodiment, hydrophilic polymers stably linked to vesicle-forming lipids are shorter than those contained in the conjugates of structure I.

In yet another embodiment, at least a portion of the hydrophilic polymers include a targeting moiety at the free terminus, e.g., distal end, of the polymer 15 chain.

In yet another aspect, the invention includes a method for improving the blood circulation lifetime of liposomes having a surface coating of releasable hydrophilic polymer chains. The method includes preparing liposomes that include between about 1 to about 20 mole % of lipids conjugated to a hydrophilic polymer, 20 wherein at least a portion of said conjugated lipids have the general structure I as represented above.

In still another aspect, the invention includes a polypeptide having a surface coating of hydrophilic polymer chains, wherein at least a portion of the polymer chains have the general structure I as represented above.

25 In one embodiment, R^1 of structure I is H and R^2 is CH_3 , C_2H_5 , or C_3H_7 .

In a preferred embodiment, P is polyethylene glycol.

The polypeptide can be a naturally-occurring polypeptide isolated from a suitable source or a recombinant polypeptide. Exemplary polypeptides include the cytokines. Specific polypeptides are interferons, interleukins, growth factors, 30 erythropoietin, and enzymes.

These and other objects and features of the invention will be more fully appreciated when the following detailed description of the invention is read in conjunction with the accompanying drawings.

Brief Description of the Drawings

Fig. 1A shows an embodiment of the invention where dithiobenzyl (DTB) urethane links a methoxy-polyethylene glycol (mPEG) moiety and an amine-containing ligand, where R¹ is a lower aryl, alkyl, aralkyl, e.g. CH₃, C₂H₅, Pr, or i-Pr, Bu, or H and R² is H or lower alkyl, aryl, or aralkyl;

5 Fig. 1B shows the products after thiolytic cleavage of the conjugate in Fig. 1A;

10 Fig. 2 illustrates a synthetic reaction scheme for synthesis of an mPEG-DTB-amine-lipid conjugate, where the amine-lipid is distearoyl-phosphatidyl-ethanolamine (DSPE);

Fig. 3A illustrates the thiolytic cleavage mechanism of a *para*-dithiobenzyl urethane linked mPEG-DSPE conjugate;

Fig. 3B illustrates the thiolytic cleavage of an mPEG-DSPE conjugate having a 1-dithio-7-(carbamoyl methyl) naphthyl linkage;

15 Figs. 4A-4B show synthetic reaction schemes for preparation of an mPEG-DTB-NPC (nitrophenylcarbonate) reagent (Fig. 4A) and mPEG-DTB-DSPE conjugate where the DTB linkage is sterically hindered by an alkyl group R;

20 Fig. 5 shows another synthetic reaction scheme for preparation of mPEG-DTB-NPC for conjugation with amino-containing ligands in accord with the invention;

Fig. 6A is a synthetic reaction scheme for synthesis of an mPEG-DTB-lipid conjugate which upon thiolytic cleavage yields a cationic lipid (as illustrated in Fig. 6B);

25 Fig. 6B shows the products after thiolytic cleavage of the conjugate in Fig. 6A;

Fig. 6C shows an alternative reaction scheme for synthesis of a disulfide-hindered mPEG-DTB-NPC reagent;

30 Fig. 7, which compares the stability of various mPEG-DTB-DSPE conjugates, shows the percentage of intact mPEG-DTB-DSPE micellar conjugates as a function of time, incubated in phosphate buffer containing 1 mM cysteine, the conjugates tested were *para*-mPEG₂₀₀₀-DTB-DSPE (solid diamonds); *ortho*-mPEG₂₀₀₀-DTB-DSPE (open diamonds); *para*-mPEG₂₀₀₀-MeDTB-DSPE (solid squares); *ortho*-mPEG₂₀₀₀-MeDTB-DSPE (open circles); *para*-mPEG₂₀₀₀-EtDTB-DSPE (solid triangles); and *para*-mPEG₅₀₀₀-DTB-DSPE (solid circles);

Figs. 8A-8B show percentage of content release of entrapped fluorophore from liposomes comprised of DOPE:*ortho*-mPEG-DTB-DSPE (Fig. 8A) or of DOPE:*para*-mPEG-DTB-DSPE (Fig. 8B) conjugate, incubated in the presence of cysteine at the indicated concentrations;

5 Fig. 9A shows normalized percent release of entrapped fluorophore as a function of time for liposomes comprised of DOPE and *para*-mPEG-DTB-DSPE (97:3 mole ratio). The percent release of entrapped fluorophore is normalized with respect to percent release of fluorophore from liposomes incubated in the absence of cysteine. The release rate from liposomes incubated in the presence of cysteine at 10 concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3000 μ M (+ symbols), and 15000 μ M (open diamonds) is shown;

15 Fig. 9B shows normalized percent release of entrapped fluorophore as a function of time for liposomes comprised of DOPE and *para*-mPEG-MeDTB-DSPE (see Fig. 3A structure, R=CH₃). The percent release of entrapped fluorophore is normalized with respect to percent release of fluorophore from liposomes incubated in the absence of cysteine. The release rate for liposomes incubated in the presence of cysteine at concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3000 μ M (+ symbols), and 15000 μ M (open diamonds) is shown;

20 Fig. 9C shows normalized percent release of entrapped fluorophore as a function of time for liposomes comprised of DOPE and the mPEG-MeDTB-distearoyl-glycerol (conjugate of Fig. 6). The percent release of entrapped fluorophore is normalized with respect to percent release of fluorophore from liposomes incubated in the absence of cysteine. The release rate of dye upon cleavage of the conjugate 25 from liposomes incubated in the presence of cysteine at concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3,000 μ M (+ symbols), and 15,000 μ M (open diamonds) is shown;

30 Fig. 10 is a plot showing the percent of injected dose of ¹¹¹In-DTPA liposomes of HSPC/cholesterol/mPEG₂₀₀₀-derived lipopolymer (55:40:5) in mice as a function of time, in hours. Liposomes containing an mPEG-DTB conjugate (see Fig. 3A, R=H, open circles), an mPEG-EtDTB-DSPE conjugate (open diamonds), or an mPEG-MeDTB-DSPE conjugate (see Fig. 3A, R=CH₃, open squares), and for liposomes

containing an mPEG-DSPE conjugate joined by a non-cleavable urethane linkage ("Stealth[®] liposomes, closed squares) and liposomes without PEG coating ("conventional" liposomes HSPC/Chol (55:45), closed triangles);

Fig. 11A shows a synthetic reaction scheme for synthesis of an mPEG-

5 DTB-protein conjugate in accord with another embodiment of the invention;

Fig. 11B shows the decomposition products after thiolytic cleavage of the conjugate in Fig. 11A and release of the parent protein;

Fig. 12A is a rendering of a photograph of an sodium-dodecyl-sulfate polyacrylamide gel electrophoresis (SDS-PAGE) profile of lysozyme reacted for 15 minutes (Lane 1) or for 1 hour (Lane 2) with mPEG-MeDTB-nitrophenyl carbonate (prepared according to Figs. 4A & 5 from mPEG, 5000 Daltons) to form a mPEG-MeDTB-lysozyme conjugate; native lysozyme (Lane 3); lysozyme reacted for 1 hour with mPEG-nitrophenylcarbonate (Lane 4); molecular weight markers (Lane 5); and the samples of Lanes 1-4 treated with 2% β -mercaptoethanol for 10 minutes at 70°C (Lanes 6-9);

Fig. 12B is another SDS-PAGE profile of an mPEG₅₀₀₀-MeDTB-lysozyme conjugate (Lane 1) treated with 1 mM cysteine (Lane 2), 5 mM cysteine (Lane 3), and 50 mM cysteine (Lane 4). Also shown are an mPEG-lysozyme conjugate (no cleavable linkage) before (Lane 5) and after treatment (Lane 6) with 50 mM cysteine. Lysozyme before (Lane 7) and after (Lane 8) treatment with 50 mM cysteine are also shown. Lane 9 corresponds to protein markers;

Fig. 13A shows RP-HPLC traces of PEG₅₀₀₀-MeDTB-lysozyme conjugate (structure of Fig. 11, R=CH₃, polypeptide = lysozyme) before contact with 1 mM cysteine and as a function of time after contact with cysteine. The trace at the 25 bottom of Fig. 13A corresponds to neat lysozyme;

Fig. 13B graphically shows the bacterial cell wall lysis turbidometric assay of enzyme activity with lysozyme (solid triangles), mPEG-lysozyme with non-cleavable urethane linkage (X symbols), and mPEG₅₀₀₀-MeDTB-lysozyme (open circles) before thiolysis and of mPEG₅₀₀₀-MeDTB-lysozyme (solid circles) and 30 mPEG-lysozyme (solid diamonds) after thiolysis with 1 millimolar (mM) cysteine;

Figs. 14A-14B are ion spray LC/MS scans of an mPEG-MeDTB-lysozyme conjugate after exposure to cysteine (Fig. 14A) and of neat lysozyme (Fig. 14B);

Figs. 15A-15B show the blood lysozyme concentrations (ng/mL) in rats after intravenous (Fig. 15A) and subcutaneous (Fig. 15B) administration of ¹²⁵I-

lysozyme (inverted triangles), non-cleavable urethane-linked PEG₁₂₀₀₀-lysozyme (diamonds) and PEG₁₂₀₀₀-MeDTB-lysozyme (circles);

Fig. 16A is a polyacrylamide gel (SDS-PAGE) stained for visibility of PEG of PEG-lysozyme under various conditions, where Lane 1 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme, Lane 2 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme in cysteine buffer, Lane 3 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme in plasma for 18 hours, Lane 4 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme in plasma treated with 1,4-dithio-DL-threitol (DTT), Lane 5 is a plasma sample control, and Lane 6 is PEG molecular weight markers;

Fig. 16B is a polyacrylamide gel (SDS-PAGE) stained for visibility of PEG of PEG-lysozyme under various conditions, where Lane 1 corresponds thiol-blocked (I-CH₂CONH₂) albumin, Lane 2 is empty, Lane 3 corresponds to albumin, Lane 4 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme treated with cysteine, Lane 5 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme treated with albumin, Lane 6 corresponds to mPEG₅₀₀₀-lysozyme, Lane 7 corresponds to PEG molecular weight markers, Lane 8 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme treated with albumin and with 1,4-dithio-DL-threitol (DTT), Lane 9 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme treated with corresponds thiol-blocked albumin; Lane 10 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme treated with albumin, Lane 11 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme, and Lane 12 corresponds to lysozyme;

Figs. 17A-17B are SDS-PAGE profiles of an mPEG₁₂₀₀₀-erythropoietin conjugates after staining for protein detection with Coomassie blue (Fig. 17A) and after iodine staining for PEG detection (Fig. 17B), where in both figures Lane 1 corresponds to EPO control; Lane 2 corresponds to mPEG₁₂₀₀₀-MeDTB-erythropoietin with PEG/protein ratio of 6:1; Lane 3 corresponds to mPEG₁₂₀₀₀-erythropoietin at PEG/protein ratio of 8:1; Lane 4 corresponds to mPEG₁₂₀₀₀-MeDTB-erythropoietin with PEG/protein ratio of 9:1; Lane 5 corresponds to mPEG₁₂₀₀₀-erythropoietin at PEG/protein ratio of 12:1; Lane 6 corresponds to MW protein markers in Fig. 17A and MW PEG markers in Fig. 17B;

Figs. 18A-18D are HPLC-SEC traces of mPEG₁₂₀₀₀- erythropoietin conjugates, where Fig. 18A is for mPEG₁₂₀₀₀-MeDTB-erythropoietin with PEG/protein ratio of 6:1; Fig. 18B corresponds to mPEG₁₂₀₀₀- erythropoietin at PEG/protein ratio of 8:1; Fig. 18C corresponds to mPEG₁₂₀₀₀-MeDTB-

erythropoietin with PEG/protein ratio of 9:1; Fig. 18D corresponds to mPEG₁₂₀₀₀-erythropoietin at PEG/protein ratio of 12:1;

5 Figs. 19A-19C are HPLC-SEC traces of erythropoietin (Fig. 19A) and of mPEG₃₀₀₀₀-isopropyl-DTB-erythropoietin conjugates, where Fig. 19B shows the trace for the conjugate alone and Fig. 19C shows the trace for the conjugate after treatment with 5 mM cysteine;

10 Figs. 20A-20D show exemplary synthesis and thiolytic cleavage of mPEG-DTB-drug conjugates, where the mPEG-DTB is linked as urethane to an amino group of mitomycin C (Fig. 20A), as alkyl to N-4 position of 5-fluorouracil (Fig. 20B), as carbonate to 2' hydroxy moiety of paclitaxel (Fig. 20C), or as ester to a carboxyl group of chlorambucil (Fig. 20D);

Fig. 21A shows the synthesis of and the decomposition products after thiolytic cleavage of the mPEG-DTB-*p*-nitroanilide conjugate;

15 Fig. 21B shows the UV spectra of para-nitroaniline (diamonds) and of mPEG₂₀₀₀-DTB-*p*-nitroanilide conjugate (squares);

Fig. 22A shows the measured percentage of *p*-nitroanilide released from mPEG-DTB-para-nitroanilides upon their incubation with 0.15 mM cysteine at pH=7.3 (R=H, diamonds; R=CH₃, squares; R=C₂H₅, triangles) and non-cleavable analog (circles); and

20 Fig. 22B shows the percent of *para*-nitroaniline released *in vitro* as a function of time, in minutes, from mPEG-MeDTB-*para*-nitroanilide conjugates of different molecular weight (2000 Daltons, squares; 5000 Daltons, circles; 12,000 Daltons, triangles) incubated in the presence of 0.15 mM cysteine at pH 7.3 (structures shown in Fig. 21A).

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Detailed Description of the Invention

I. Definitions

"Polypeptide" as used herein refers to a polymer of alpha-amino acids and does not refer to a specific length of or amino acid sequence of a polymer. Thus, 30 for example, the terms peptide, oligopeptide, protein, and enzyme are included within the definition of polypeptide. This term also includes post-expression modifications of the polypeptide, for example, glycosylations, acetylations, phosphorylations, and the like.

"Hydrophilic polymer" as used herein refers to a water-soluble, biocompatible polymer. Exemplary polymers of this type include polyvinylpyrrolidone, polyvinylmethylether, polymethyloxazoline, polyethyloxazoline, polyhydroxypropyloxazoline, polyhydroxypropyl-methacrylamide, 5 polymethacrylamide, polydimethyl-acrylamide, polyhydroxypropylmethacrylate, polyhydroxyethylacrylate, hydroxymethylcellulose, hydroxyethylcellulose, polyethyleneglycol, polyaspartamide, copolymers of the above-recited polymers, and polyethyleneoxide-polypropylene oxide copolymers. Properties and reactions with many of these polymers are described in U.S. Patent Nos. 5,395,619 and 10 5,631,018.

"Polymer comprising a reactive functional group" or "polymer comprising a linkage for attachment" refers to a polymer that has been modified, typically but not necessarily, at a terminal end moiety for reaction with another compound to form a covalent linkage. Reaction schemes to functionalize a polymer to have such a reactive functional group or moiety are readily determined by those of skill in the art 15 and/or have been described, for example in U.S. Patent No. 5,613,018 or by Zalipsky *et al.*, in for example, *Eur. Polymer. J.*, 19(12):1177-1183 (1983); *Bioconj. Chem.*, 4(4):296-299 (1993).

"Recombinant" as in "recombinant polypeptide" implies joining of alpha- 20 amino acids through laboratory manipulation into a desired sequence.

"Alkyl" as used herein intends a group derived from an alkane by removal of a hydrogen atom from any carbon atom: " C_nH_{2n+1} ". The groups derived by removal of a hydrogen atom from a terminal carbon atom of unbranched alkanes form a subclass of normal alkyl (n-alkyl) groups: $H[CH_2]_n$. The groups RCH_2- , R_2CH- (R 25 not equal to H), and R_3C- (R not equal to H) are primary, secondary and tertiary alkyl groups respectively. "Lower alkyl" refers to alkyl groups having 1-6, and more preferably 1-4, carbon atoms.

"Aryl" refers to a substituted or unsubstituted monovalent aromatic radical having a single ring (e.g., phenyl), two condensed rings (e.g., naphthyl) or three 30 condensed rings (e.g. anthracyl or phenanthryl). This term includes heteroaryl groups, which are aromatic ring groups having one or more nitrogen, oxygen, or sulfur atoms in the ring, such as furyl, pyrrole, pyridyl, and indole. By "substituted" is meant that one or more ring hydrogens in the aryl group is replaced with a halide such as fluorine, chlorine, or bromine; with a lower alkyl group containing one or two

carbon atoms; nitro, amino, methylamino, dimethylamino, methoxy, halomethoxy, halomethyl, or haloethyl.

"Aralkyl" refers to a lower alkyl (preferably C₁-C₄, more preferably C₁-C₂) substituent which is further substituted with an aryl group; examples are benzyl and phenethyl.

5 An "aliphatic disulfide" linkage intends a linkage of the form R'-S-S-R", where R' and R" are linear or branched alkyl chains that may be further substituted.

10 "Stably linked" refers to a linkage comprising functional groups which are appreciably more stable *in vivo* than the disulfide-based linkages described herein. Examples include, but are not limited to, amides, ethers, amines, and carbamates.

15 "Targeting moiety" intends a member that has binding affinity for a second member. Such members are also referred to in the art as targeting ligands or effector molecules. A preferred exemplary targeting moiety is a member that has binding affinity for a specific cell surface receptor on a target cell membrane or to a cell matrix. Another preferred exemplary targeting moieties are members having affinity for cells or pathogens circulating in the blood.

20 "Vesicle-forming lipids" refers to amphipathic lipids which have hydrophobic and polar head group moieties, and which can form spontaneously into bilayer vesicles in water, as exemplified by phospholipids, or are stably incorporated into lipid bilayers, with the hydrophobic moiety in contact with the interior, hydrophobic region of the bilayer membrane, and the polar head group moiety oriented toward the exterior, polar surface of the membrane. Such vesicle-forming lipids typically include one or two hydrophobic acyl hydrocarbon chains or a steroid group and 25 may contain a chemically reactive group, such as an amine, acid, ester, aldehyde or alcohol, at the polar head group. Examples include phospholipids, such as phosphatidyl choline (PC), phosphatidyl ethanolamine (PE), phosphatidic acid (PA), phosphatidyl inositol (PI), and sphingomyelin (SM), where the two hydrocarbon chains are typically between about 14-22 carbon atoms in length, and 30 have varying degrees of unsaturation. Other vesicle-forming lipids include glycolipids, such as cerebrosides and gangliosides, and sterols, such as cholesterol.

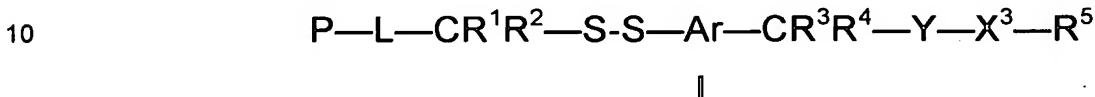
The following abbreviations are used herein: PEG, poly(ethylene glycol); mPEG, methoxy-PEG; DTB, dithiobenzyl; MeDTB, methyl-dithiobenzyl, EtDTB,

ethyl-dithiobenzyl; DSPE, distearoyl phosphatidylethanolamine; DOPE, dioleoyl phosphatidylethanolamine; PHPC, partially hydrogenated phosphatidylcholine; MALDI-TOFMS, matrix-assisted laser desorption / ionization time-of-flight mass spectrometry.

5

II. The Conjugates of the Invention

In one aspect, the invention comprises a conjugate having the general structure I:



where:

P is a hydrophilic polymer and L is a linker moiety;

R¹, R², R³ and R⁴ are independently selected from the group consisting of

15 H, alkyl, aralkyl and aryl;

Ar is an aryl group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the Ar—CR³R⁴—Y moiety upon cleavage of the S-S bond, as described further below;

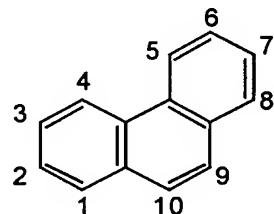
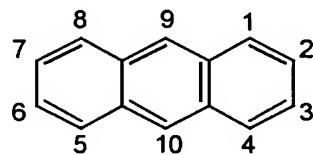
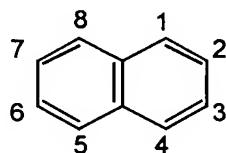
Y is -X¹-(C=X²)- or a direct bond, where X¹ and X² are independently O or S
20 ; and

X³R⁵ is a ligand derived from an amine-, hydroxy- or carboxyl-containing compound, such that X³ is an oxygen or secondary or tertiary nitrogen atom.

The linker moiety L may be a direct bond, amine, amide, carbamate, ether, or a carbon chain, where the carbon chain may have one or more functional
25 groups selected from amine, amide, carbamate, and ether, at either terminus of the chain or intervening between C atoms of the chain.

Ar is an aryl group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the CR³R⁴—Y bond upon cleavage of the S-S bond. Such cleavage of the CR³R⁴—Y bond occurs via a 1,4-, 1,6- elimination reaction following S-S bond cleavage, as illustrated in Fig. 3A, Fig. 6B, Fig. 11B, 30 and Fig. 20, or a related mechanism involving additional bonds of the aromatic system, as illustrated for a 1,7-naphthyl system in Fig. 3B.

In one embodiment, Ar is an aromatic hydrocarbon. Aromatic hydrocarbons include, for example, phenyl, to which the S-S and CR³R⁴ groups would be linked in a 1,2 (*ortho*) or 1,4 (*para*) configuration, as well as bicyclic (naphthalene), tricyclic (e.g. phenanthrene, anthracene) or higher aromatic hydrocarbons. The 5 above noted groups are linked to the hydrocarbon in an *ortho* (1,2) or *para* (1,4) configuration, or they are otherwise in such a configuration that they are separated by an odd number of peripheral ring bonds. Examples of the latter case include, but are not limited to, 1,7-naphthyl, 1,5-anthracyl, 1,7-anthracyl, 1,10-anthracyl, 10 1,6-phenanthryl, 3,6-phenanthryl, and 4,10-phenanthryl. These designations employ the conventional numbering systems illustrated below.



15 Also included in the definition of Ar are the corresponding 6-, 10-, or 14-membered heteroaromatic rings, where one or more non-fusion ring atoms (i.e. those numbered in the structures above), to which the above groups S-S and CR³R⁴ are not attached, are replaced with nitrogen. Again, the above noted groups are in an *ortho* or *para* configuration, or they are otherwise in such a 20 configuration that they are separated by an odd number of peripheral ring bonds.

Also included in the definition of Ar are 5-membered heteroaromatic rings, where one or more ring atoms (to which the above noted groups S-S and CR³R⁴ are not attached) are replaced with nitrogen, oxygen, or sulfur. Preferred

monocyclic systems include 2,4-imidazole, -thiazole, and -oxazole and 2,5-pyrrole, -furan, and -thiophene. Also included are fused counterparts, i.e. polycyclic aromatic groups containing such a 5-membered heteroaromatic ring. In this case, the above noted groups (S-S and CR³R⁴) are preferably in an *ortho* or *para* configuration, or they are otherwise in such a configuration that they are separated by an odd number of peripheral ring bonds. An exception in this case is that the path along the periphery containing the odd number of bonds does not contain an oxygen, sulfur, or trisubstituted nitrogen (e.g., as in indole) ring atom. Examples include, but are not limited to, 2,6- or 2,7-benzimidazole, -benzthiazole, and -benzoxazole, 2,4- or 2,6-indole, and analogs in which one or more non-fusing carbon atoms on a 6-ring are replaced with nitrogen.

R¹ – R⁴ are independently selected to be H, alkyl, aralkyl, or aryl, and, as will be seen, R¹ and R² can be varied to tailor the rate of disulfide cleavage. For example, to achieve a faster rate of cleavage, R¹ and R² are hydrogens. A slower rate of cleavage is achieved by sterically hindering the disulfide by selecting an alkyl, aralkyl or aryl group for one or both of R¹ and R². Preferably, R¹ – R⁴ are independently selected from hydrogen and lower (C₁ to C₆) alkyl. More preferably, R¹ and R² are hydrogen or C₁-C₄ alkyl, and R³ and R⁴ are hydrogen. In one embodiment, R¹ is hydrogen and R² is C₁-C₄ alkyl.

Y is a linking moiety, which may be a direct bond or X¹(C=X²), where X¹ and X² are independently O or S. It is joined to a ligand represented by X³R⁵, where X³ is an oxygen or secondary or tertiary nitrogen atom. The ligand is derived from an amine-, hydroxy- or carboxyl-containing compound (e.g., R⁵NH₂, R⁵OH, or R⁵COOH). Examples of drugs containing such groups include mitomycin C (amine containing), paclitaxel (Taxol®; (hydroxyl containing) and chlorambucil (carboxyl-containing). The ligand can be derived from any number of substrates, including, but not limited to, lipids, drugs, polypeptides, viruses, surfaces of biomaterials, and aminoglycosides. In preferred embodiments, the ligand compound is a primary or secondary amine-containing lipid, drug or polypeptide.

When the ligand is derived from an amine- or hydroxy- containing compound, Y is preferably -X¹-(C=X²)- as recited above, and more preferably O(C=O), such that the conjugate contains a carbamate or carbonate linkage, respectively, to the ligand. Y can also be a direct attachment, thus conjugating via an ether linkage with X³ = O, or via a C-N linkage as shown in Fig. 20B (discussed

below) for $X^3=N$. When the ligand is derived from a carboxyl-containing compound, Y is preferably a direct bond, such that the compound is directly linked to CR^3R^4 via the carboxyl group; i.e. an ester linkage. In all of these embodiments, the polymer-SS-Ar- CR^3R^4 -drug conjugate, after administration *in vivo*, thiolytically decomposes to regenerate the drug in its native, active form. Note that while disulfide-substituted benzyl urethane (carbamate) is hydrolysis resistant under *in vivo* conditions, carbonate and esters might slowly hydrolyze and thus release the ligand portion of the conjugate. In this respect, the DTB urethane is preferable to the other two linkages, due to its purely thiolytic release mechanism.

Also provided are compositions containing a conjugate as described above and a pharmaceutically-acceptable carrier, such as saline, buffer or the like.

Fig. 1A shows the structure of an exemplary conjugate in accord with the invention, where R^1 is the hydrophilic polymer methoxy-polyethylene glycol (mPEG), represented by the formula $CH_3O(CH_2CH_2O)_n$ where n is from about 10 to about 2300, which corresponds to molecular weights of about 440 Daltons to about 100,000 Daltons. The mPEG in this embodiment includes a urethane linking moiety. The selection of the molecular weight of the polymer depends to some extent on the selection of the attached ligand. In embodiments where the ligand is derived from an amine-containing lipid, for use in a liposome, a preferred range of PEG molecular weight is from about 750 to about 10,000 Daltons, more preferably from about 2,000 to about 5,000 Daltons. In embodiments where the ligand is derived from an amine-containing polypeptide, e.g., a protein, a preferred range of PEG molecular weight is from about 2,000 to about 40,000 Daltons, more preferably from about 5,000 to about 20,000 Daltons. It will be appreciated that P can be selected from a variety of hydrophilic polymers, and exemplary polymers are recited above.

The hydrophilic polymer may also have a targeting moiety attached to its free terminus. Such targeting moieties include the molecules described in U.S. Patent No. 5,891,468, and this section beginning at Col. 11, line 25 to Col. 16, line 13 is incorporated by reference herein. Other exemplary moieties are set forth in U.S. Patent No. 6,043,094 and Col. 8, line 55 to Col. 13, line 30 are incorporated herein by reference. Exemplary targeting moieties include folate; growth factors, such as transferrin, vascular endothelial growth factor (VEGF), epidermal growth factor (EGF), fibroblast growth factor (FGF); antibodies of fragments thereof specific to various epitopes, e.g. her2; cytokines, CD4; and the like. It is contemplated that

some targeting moieties will facilitate cell internalization. In these cases, the relatively higher intracellular glutathione concentration facilitates rapid DTB cleavage and release of the attached drug or protein.

For some ligands, such as polypeptide ligands, which have a variety of functional side groups, multiple polymers P can be conjugated to the ligand. They may be conjugated via the structure shown above (i.e., the moiety L—CR¹R²—S-S—Ar—CR³R⁴—Y) or by a linkage which is more stable *in vivo*, or by a combination of linkages. The selection of the molecular weight of the polymers may depend on the number of polymer chains attached to the ligand, where a larger molecular weight polymer is often selected when the number of attached polymer chains is small, and vice versa.

With continuing reference to Fig. 1A, R¹ and R² in this exemplary conjugate are H; however, either or both of R¹ and R² can also be straight chain or branched alkyl, an aralkyl group, or an aryl group. In a preferred embodiment, R¹ is H and R² is an alkyl, and several examples are given below. In the conjugate shown in Fig. 1A, Y-X³R⁵ takes the general form of O(C=O)-(NH-ligand), where NH-ligand can be any amine-containing polypeptide, drug or lipid. Specific examples of each embodiment are given below. The moiety Y-X³R⁵ can also be of the form O(C=S)-(NH-ligand) or S(C=O)-(NH-ligand).

Fig. 1B shows the mechanism of thiolytic cleavage of the mPEG-DTB-(NH-ligand) conjugate of Fig. 1A. The *ortho*- or *para*-dithiobenzyl carbamate moiety is cleavable under mild thiolytic conditions, such as in the presence of cysteine, glutathione, albumine, or other naturally-occurring reducing agents. Upon cleavage, the amine-containing ligand compound is regenerated in its natural, unmodified form (Senter, P. *et al.*, *J. Org. Chem.*, 55(9):2975 (1990), Zalipsky, S. *Bioconjugate Chem.*, 10:703 (1999)). Studies in support of the invention, described below, show that natural, physiologic conditions *in vivo* are sufficient to initiate and achieve cleavage of the DTB linkage. It will be appreciated that a reducing agent can also be administered to artificially induce thiolytic conditions sufficient for cleavage and decomposition of the conjugate.

In preferred embodiments, the ligand compound comprises an amine-containing polypeptide, drug or lipid. Examples of these embodiments will now be described.

A. Amine-Containing Lipid Conjugates

In one embodiment, the amine-containing ligand compound is an amine-containing lipid. Lipids as referred to herein intend water-insoluble molecules having at least one acyl chain containing at least about eight carbon atoms, more 5 preferably an acyl chain containing between about 8-24 carbon atoms. A preferred lipid is a lipid having an amine-containing polar head group and an alkyl chain, such as stearoylamine. Exemplary lipids are phospholipids having a single acyl chain, or two acyl chains. Preferred phospholipids with an amine-containing head group include phosphatidylethanolamine and phosphatidylserine. The lipid 10 tail(s) preferably have between about 12 to about 24 carbon atoms and can be fully saturated or partially unsaturated. One preferred lipid is distearoylphosphatidylethanolamine (DSPE); however, those of skill in the art will appreciate the wide variety of lipids that fall within this description. It will also be appreciated that the lipid can naturally include an amine group or can be 15 derivatized to include an amine group (see for example Figs. 6A-6B). Other hydrophobic moieties that do not have an acyl tail, such as cholesterolamine, are also suitable.

A1. Synthesis

20 The synthesis of a polymer-DTB-lipid conjugate is schematically depicted in Fig. 2. mPEG derivatives (MW 2000 and 5000 Daltons) having a methoxycarbonyldithio end group were prepared by reacting 2-(methoxycarbonyldithio)ethaneamine with mPEG-chloroformate, which was readily prepared by phosgenation of dried mPEG-OH solution (Zalipsky, S. et al., 25 *Biotechnol. Appl. Biochem.* 15:100-114 (1992)). The former compound was obtained through 2-aminoethanethiol hydrochloride reaction with an equivalent amount of methoxycarbonylsulfenyl chloride, according to published procedures (Brois, S.J. et al., *J. Amer. Chem. Soc.* 92:7629-7631 (1970); Koneko, T. et al., *Bioconjugate Chem.* 2:133-141 (1991)). Both the *para* and *ortho* isomers of 30 mercaptobenzyl alcohol (Grice, R. et al., *J. Chem. Soc.* 1947-1954 (1963)) coupled cleanly with the resulting PEG-linked acyl disulfide (alternative name PEG-S-sulfenycarbomethoxy (Scm)), yielding mPEG bearing a dithio benzyl alcohol end group. Active carbonate introduction proceeded as with underivatized mPEG-OH, to give the *para*-nitrophenyl carbonate (mPEG-DTB-NPC). Addition of

DSPE in chloroform in the presence of triethylamine (TEA) formed the desired mPEG-DTB-DSPE product. Both *ortho*- and *para*-DTB-lipid conjugates were prepared and purified by silica gel chromatography and characterized by NMR and MALDI-TOF MS, the details of which are given in Example 1.

5 Aromatic compounds analogous to mercapto benzyl alcohol, e.g. 1-mercaptop-2-naphthalenemethanol, 2-mercaptop-1-naphthalenemethanol, 3-mercaptop-2-naphthalenemethanol, and 5-mercaptop-1-naphthalenemethanol, can be purchased or prepared by standard methods of organic synthesis (see e.g. H. Meier *et al.*, *Synthesis* 3:327-9 (1996), *Liebigs Ann.* 12:2221-6 (1995), and
10 *Tetrahedron Lett.* 35(14):2161-4 (1994); A.H. Weinstein, *J. Org. Chem.* 24:1609-10 (1959)). Compounds having additional aromatic-ring substitutions, e.g., methyl or methoxy substitution on the aromatic ring, may also be used.

15 Fig. 3A shows the mechanism of thiolytic cleavage of the above-described mPEG-DTB-DSPE conjugate, involving initial scission of the disulfide followed by 1,6-elimination and decarboxylation. Upon cleavage, the phosphatidylethanolamine lipid is regenerated in its natural, unmodified form. An example of analogous liberation of amino lipid from a 1,7-naphthyl substituted linker is shown in Fig. 3B.

20 Figs. 4A-4B show a reaction scheme for synthesis of mPEG-DTB-DSPE conjugates having an alkyl group adjacent the disulfide linkage, *i.e.*, a more hindered disulfide linkage. As described more fully in Example 2A, mPEG-OH in dichloromethane was reacted with p-nitrophenylchloroformate in the presence of triethylamine (TEA) to form mPEG-nitrophenyl carbonate (Veronese, F. *et al.*, *Appl. Biochem. Biotechnol.*, 11:141 (1985)). An amino alcohol, such as 1-amino-2-propanol or 1-amino-2-butanol, in dimethylformamide (DMF) was reacted with the mPEG-nitrophenyl carbonate in the presence of TEA to form a secondary alcohol attached to PEG. The secondary alcohol was then converted to the desired mPEG-DTB-DSPE conjugate as illustrated in Fig. 4A and detailed in Example 2A.

25 In the reaction scheme illustrated in Fig. 4B, mPEG- α -R-dithiobenzyl nitrophenyl carbonate (R=methyl (Me), ethyl (Et), isopropyl, etc.) was reacted with DSPE to form the desired lipopolymer mPEG-R-DTB-NPC. The nitrophenyl moiety in the mPEG- α -R-dithiobenzyl-nitrophenyl carbonate compound acts as a leaving group to yield the desired product upon reaction with an amino lipid.

Example 2C describes preparation of an mPEG-EtDTB-lipid conjugate where the disulfide linkage is hindered by an ethyl moiety on the α -carbon.

Fig. 5 shows another synthetic reaction scheme for preparation of α -carbon substituted mPEG-DTB-NPC reagents in accord with the invention. The details of 5 the reaction procedures are given in Examples 3A-3B. In these examples, R- substituted aminoethanols were first converted into the corresponding aminoethanethiols, and then to the mPEG-S-Scm derivatives. The latter, as illustrated above in Fig. 2 and Fig. 4A, are easily converted into mPEG-DTB-NPC.

Fig. 6A shows a reaction scheme for preparation of another mPEG-DTB-lipid 10 conjugate in accord with the invention. The reaction details are provided in Example 4. The lipid 1,2-distearoyl-sn-glycerol derivatized with an amino group and then coupled with mPEG-DTB-nitrophenyl, prepared as described in Fig. 4A or Fig. 5. The resulting mPEG-DTB-lipid differs from the conjugates described above in the absence of a phosphate head group. The mPEG-DTB-lipid of Fig. 6A is a neutral, 15 uncharged lipopolymer. As shown in Fig. 6B, upon thiolytic reduction of the disulfide bond, the conjugate decomposes to yield a cationic lipid. The positively-charged lipid provides for electrostatic interaction with biological cells and commensurate advantages in *in vivo* targeting (see, for example, WO 03/053409). An alternative method to make hindered mPEG-DTB-NPC linker is shown in Fig. 6C.

20 In the reaction schemes described above, R¹ of the claimed conjugate is H. However, in other embodiments R¹ is an alkyl, aralkyl or aryl moiety. In this approach, for example, where R¹ and R² are both CH₃, an α,β -unsaturated acyl chloride (R'R"CH=CHCOCl, where R' is, for example CH₃ and R" is CH₃, however any alkyl or aryl is contemplated) is reacted with an amine-terminated PEG to give 25 the corresponding N-PEG-substituted α,β -unsaturated amide, as shown in Fig. 6C. This compound is reacted with thiolacetic acid, giving the corresponding N-PEG- substituted β -(acetylthio) amide via addition to the C=C bond (Worrell, N.R. et al., *Anti-Cancer Drug Design*, 1:179 (1986); Greenfield, L. et al., *Bioconjugate Chem.*, 1:400 (1990)). The acetylthio group (-SCOCH₃) is hydrolyzed to a thiol group (-SH), which is then reacted with methoxycarbonyl (sulfenyl)chloride (CISCOOCH₃), 30 generating the S-Scm end group (-SSCOOCH₃). The mPEG-S-Scm, as in the examples above, is then reacted with *p*-mercapto benzyl alcohol to provide for PEG-linked DTB-alcohol (having the structure PEG-NH-CO-CH₂CR'R"-SS-*p*-

phenyl-CH₂OH). The benzyl alcohol moiety is then reacted with nitrophenyl chloroformate as in previous examples to give the nitrophenyl carbonate, which is a convenient reactive group for linking amino-containing ligands.

5 Pertinent to the above syntheses, the invention also includes a composition comprising a conjugate obtainable by reaction of an amine-, hydroxy- or carboxyl-containing compound with a compound having the general structural formula **II**:



II

10 wherein P is a hydrophilic polymer and L is a linker moiety, as described above;

R¹, R², R³ and R⁴ are independently selected from the group consisting of H, alkyl, aralkyl, and aryl;

15 Ar is an aryl group to which S-S and CR³R⁴ are linked in a configuration which promotes rapid cleavage of the Ar—CR³R⁴—Y moiety upon cleavage of the S-S bond, as described above;

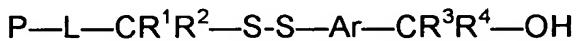
Y is a direct bond or -X¹-(C=X²)-, where X¹ and X² are independently O or S; and R⁶ is a leaving group.

20 The leaving group is displaced upon reaction with an amine-, hydroxy- or carboxyl-containing ligand compound, such as DSPE, a polypeptide, or an amine-containing drug. The leaving group is selected according to the reactivity of the displacing group in the ligand compound, and is preferably derived from various acidic alcohols that have a hydroxy- or oxy-containing leaving group. These include chloride, *p*-nitrophenol, *o*-nitrophenol, N-hydroxy-tetrahydronaphthalimide, N-hydroxysuccinimide, N-hydroxy-glutarimide, N-hydroxynorbornene-2,3-dicarboxyimide, 1-hydroxybenzotriazole, 3-hydroxypyridine, 4-hydroxypyridine, 2-hydroxypyridine, 1-hydroxy-6-trifluoromethylbenzotriazole, imidazole, triazole, N-methyl-imidazole, pentafluorophenol, trifluorophenol and trichlorophenol.

25 When the compound of formula **II** is reacted with an amine- or hydroxy-containing compound that displaces R⁶ to form a conjugate, such as represented by formula **I** above, Y is preferably -X¹-(C=X²)- as recited above, and more preferably O(C=O), such that the resulting conjugate contains a carbamate or carbonate linkage, respectively, to the ligand. See, for example, Fig. 20A, 20C and Examples 10A-10B.

When the compound of formula II is reacted with a carboxyl-containing compound, e.g. chlorambucil, Y is preferably a direct bond, such that the compound is directly linked to CR³R⁴ via the carboxyl group; i.e. an ester linkage. In the exemplary case of chlorambucil, the conjugate of formula I above would have the formula:

P—L—CR¹R²—S—S—Ar—CR³R⁴—O—(C=O)—(CH₃)₂—(p-C₆H₄)—N(CH₂CH₂Cl)₂, where, in accordance with the definition of formula I above, Y is a direct bond, and X³ is the ester oxygen of chlorambucil. See Fig. 20D. The conjugate could also be formed by condensing an activated derivative of chlorambucil, e.g. Cl—(C=O)—(CH₃)₂—(p-C₆H₄)—N(CH₂CH₂Cl)₂, with



where the variables are as defined above. This synthetic scheme would also apply to activated derivatives of other carboxyl-containing compounds.

15 A2. In vitro Cleavage of mPEG-DTB-DSPE Conjugates

Fig. 7 illustrates cysteine-mediated cleavage of *ortho*- and *para*- mPEG-DTB-DSPE, *ortho*- and *para*-mPEG-MeDTB-DSPE, and *para* mPEG-EtDTB-DSPE (prepared as described in Example 1) micellar solutions of the conjugates in a buffered phosphate-saline aqueous solution (pH 7.2). The thiolytic cleavage was monitored in the presence of 1 mM cysteine by analyzing for disappearance of the conjugates by RP-HPLC, as described in Example 5. As seen, the conjugates having an unhindered DTB group, *para*-mPEG₂₀₀₀-DTB-DSPE (solid diamonds) and *ortho*-mPEG₂₀₀₀-DTB-DSPE (open diamonds), exhibited the fastest rate of cleavage, with the *ortho* conjugate considerably faster than the *para* conjugate. Hindering the DTB linkage with an methyl (Me) or ethyl (Et) group, as in the *para*-mPEG-MeDTB-DSPE (solid squares), *ortho*-mPEG-MeDTB-DSPE (open circles), and *para*-mPEG-EtDTB-DSPE (solid triangles) conjugates, significantly slowed the rate of cleavage. Increasing the molecular mass of PEG from 2000 Da to 5000 Da did not change the rate of cysteine-mediated cleavage (solid squares and solid circles). This experiment clearly illustrates that increasing the R group (Fig. 3A) makes the thiolysis noticeably slower.

A3. Liposome Compositions Comprising an mPEG-SS-Ar-CR³R⁴-Lipid Conjugate

a. In vitro Characterization

In one embodiment, the conjugates of the invention are formulated into 5 liposomes. Liposomes are closed lipid vesicles used for a variety of therapeutic purposes, and in particular, for carrying therapeutic agents to a target region or cell by systemic administration. In particular, liposomes having a surface coating of hydrophilic polymer chains, such as polyethylene glycol (PEG), are desirable as drug carriers, since these liposomes offer an extended blood circulation lifetime 10 over liposomes lacking the polymer coating. The polymer chains in the polymer coating shield the liposomes and form a "brush" of water solvated polymer chains about the liposomes. Thus, the polymer acts as a barrier to blood proteins, preventing binding of the protein and recognition of the liposomes for uptake and removal by macrophages and other cells of the reticuloendothelial system.

15 However, to facilitate interactions with target cells all or a portion of the polymer "brush" would be removed with the cleavable lipopolymer of the current invention is used. This is particularly important for intracellular drug delivery and gene delivery (liposomal plasmid-DNA delivery).

Typically, liposomes having a surface coating of polymer chains are 20 prepared by including in the lipid mixture between about 1 to about 20 mole percent of the lipid derivatized with the polymer, also referred to herein as a "lipopolymer". The actual amount of lipopolymer can be higher or lower, depending on the molecular weight of the polymer. In the present invention, 25 liposomes are prepared by adding between about 1 to about 20 mole percent of polymer-lipid conjugates, including at least some conjugates of formula I above, to other liposome lipid bilayer components.

In various embodiments, the polymer chains in the above-referenced 1 to about 20 mole percent of lipids are attached to the lipids via the cleavable linking structures shown herein (i.e, the moiety L—CR¹R²—S-S—Ar—CR³R⁴—Y), or by a 30 linkage which is more stable *in vivo*, or, in a preferred embodiment, by a combination of linkages. In this case, higher molecular weight polymer chains are preferably linked via the cleavable linking structures shown herein, and shorter, lower molecular weight polymer chains by more stable linkages.

In another embodiment, some or all of the polymer chains contain a targeting moiety at the free terminus. Such targeting moieties include any of the exemplary moieties described above and in U.S. Patent Nos. 6,043,094 and 5,891,468 and are intended to facilitate *in vivo* binding to specific cells.

5 In studies performed in support of the invention, liposomes comprised of the vesicle-forming lipid PHPC (partially hydrogenated phosphatidyl choline), in combination with cholesterol and either the *ortho*-mPEG-DTB-DSPE or the *para*-mPEG-DTB-DSPE conjugate, were prepared as described in Example 6.

10 In other studies, liposomes were prepared from the lipid dioleoyl phosphatidylethanolamine (DOPE) and either the *ortho*-mPEG-DTB-DSPE or the *para*-mPEG-DTB-DSPE conjugate. DOPE is a hexagonal phase lipid which alone does not form lipid vesicles. However, liposomes form when DOPE is combined with a few mole percent of the mPEG-DTB-DSPE conjugate. Cleavage of the 15 mPEG-DTB-DSPE conjugate triggers decomposition of the liposomes and release of liposomally-entrapped contents. Thus, the content release characteristics of such liposomes provides a convenient quantitative evaluation of cleavable PEG-bearing liposomes.

20 Liposomes comprised of DOPE and the *ortho*- or *para*-mPEG-DTB-DSPE (Fig. 3A, R=H) conjugate were prepared with entrapped fluorophores, *p*-xylene-bis-pyridinium bromide and trisodium 8-hydroxypyrenetrisulfonate, as described in Example 6A. Release of the fluorophores from liposomes incubated in the presence of cysteine at various concentrations was monitored as described in Example 6B.

25 Results for liposomes comprising the *ortho*-conjugate are shown in Fig. 8A, where percentage of content release of entrapped fluorophore from liposomes incubated in the presence of cysteine at concentrations of 15 μ M (solid diamonds), 150 μ M (solid inverted triangles), 300 μ M (solid triangles) and 1.5 mM (solid circles) are shown. Fig. 8B is a similar plot for liposomes comprising the *para*-conjugate, where the liposomes are incubated in cysteine at concentrations of 15 30 μ M (solid diamonds), 300 μ M (solid triangles), 1 μ M (solid squares) and 1.5 mM (solid circles).

Figs. 8A-8B show that both the *ortho*- and *para*-conjugates are cleaved when incorporated into liposomes, as evidenced by release of the entrapped dye, at a rate dependent on the concentration of cysteine. Control studies with non-

cleavable mPEG-DSPE containing liposomes produced no content release (data not shown). These results also suggest that the *ortho* conjugate is more susceptible to thiolytic cleavage. For example, 300 μ M cysteine liberates most of the contents of DOPE liposomes within 20 minutes. Under the same conditions, 5 only a fraction of liposomes having *para*-mPEG-DTB-DSPE decomposed. Similarly, after incubation for 20 minutes at 150 μ M cysteine, half of the entrapped contents was released for the *ortho*-containing liposomes, while even at 300 μ M cysteine only approximately 10% of the contents were release in liposomes containing the *para*-conjugate. Both *ortho* and *para* conjugates have half-lives of 10 less than 20 minutes at a cysteine level of 150 μ M (Zalipsky, S. et al., *Bioconjugate Chem.*, 10:703 (1999)). This suggests that more than half of the original three mole percent of the mPEG-DTB-lipid must be cleaved to observe content release from the liposomes.

Decomposition of the mPEG-DTB-DSPE/DOPE liposomes in 15 μ M cysteine, the average plasma concentration in both humans and rodents (Lash, L.H., et al., *Arch. Biochem. Biophys.* 240:583-592 (1985)), was minimal in the time frame of these experiments (60 minutes). This suggests that the mPEG-DTB-lipid conjugates might have sufficiently long lifetimes in plasma to allow the PEG-grafted vesicles to distribute systemically *in vivo*, or to accumulate in a specific site 20 either passively or through ligand-mediated targeting. Local or short term increase in cysteine concentration can potentially be achieved by its intravenous or intra-arterial administration. The results shown in Figs. 8A-8B also suggest that prolonged exposure to the natural plasma cysteine concentration (\approx 15 μ M) would be sufficient to decompose most of these conjugates. These suggestions were 25 studied in *in vivo* experiments, described below.

In another study performed in support of the invention, liposomes comprised of DOPE and three different mPEG₂₀₀₀-DTB-lipid conjugates were prepared. The liposomes were prepared as described in Example 6 and included an entrapped fluorophore. The three lipopolymers were mPEG-DTB-DSPE as shown in Fig. 3A, 30 where R is H; mPEG-MeDTB-DSPE as shown in Fig. 3A, where R is CH₃, and mPEG-MeDTB-distearoyl-glycerol, as shown in Fig. 6A. The liposomes were comprised of 97 mole percent DOPE and 3 mole percent of one of the mPEG-DTB-lipid conjugates. Cysteine-mediated rate of cleavage of the conjugates was

determined by monitoring the release of entrapped fluorophore as a function of time in the presence of various cysteine concentrations. The results are shown in Figs. 9A-9C, where the percent release of entrapped fluorophore is normalized for the release rate from liposomes incubated in buffer alone.

5 Fig. 9A shows the percent release of entrapped fluorophore as a function of time for liposomes comprised of DOPE and *para*-mPEG-DTB-DSPE (conjugate of Fig. 3A, R=H). The release rate from liposomes containing the conjugate and incubated in the presence of cysteine at concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3000 μ M (+ symbols), and 15000 μ M (open diamonds) is shown.

10

Fig. 9B shows the percent release of entrapped fluorophore as a function of time for liposomes comprised of DOPE and *para* mPEG-MeDTB-DSPE (R=CH₃, conjugate of Fig. 3A & 4B). The release rate of the fluorophore from liposomes incubated in the presence of cysteine at concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3000 μ M (+ symbols), and 15000 μ M (open diamonds) is shown. The content release is markedly slower than in Fig. 9A.

15 Fig. 9C is a similar plot for liposomes formed with DOPE and mPEG-MeDTB-distearoyl glycerol (conjugate of Fig. 6A). The release rate of dye from liposomes incubated in the presence of cysteine at concentrations of 15 μ M (solid squares), 75 μ M (open triangles), 150 μ M (X symbols), 300 μ M (open circles), 1500 μ M (solid circles), 3000 μ M (+ symbols), and 15000 μ M (open diamonds) is shown.

20 Figs. 9A-9C show that the rate of mPEG-MeDTB-lipid cleavage is cysteine-concentration dependent, with a slow rate of cleavage, as evidenced by release of entrapped fluorophore, at cysteine concentrations of 15-75 μ M. In comparing the data in Fig. 9A with that in Fig. 9B, it is seen that the mPEG-MeDTB-DSPE conjugate (Fig. 9B) containing liposomes release their contents approximately 10 times more slowly than the mPEG-DTB-DSPE-containing counterpart liposomes (Fig. 9A). Thus, the rate of cleavage can be tailored according to the R moiety (see Fig. 3A and 25 7) in the DTB linkage, which hinders the access of thiols to the disulfide linkage of the DTB. Note that the results in Figs. 9B and 9C are very similar, supporting the notion that R group size can be used to fine tune the lipopolymer cleavage rate.

30

b. In vivo Characterization

The blood circulation lifetimes of liposomes that include a mPEG₂₀₀₀-DTB-lipid conjugates in accord with the invention, prepared as described in Example 7, was determined in mice. EDTa-chelated In¹¹¹ was entrapped in the liposomes, to 5 allow their quantification in plasma at various time points. After intravenous administration in mice, blood samples were taken at various times and analyzed for the presence of liposomes, as evidenced by the presence of In¹¹¹.

10 The blood clearance curves are shown in Fig. 10. Liposomes containing an mPEG-DTB-DSPE conjugate (open circles, Fig. 3A, R=H), an mPEG-EtDTB-DSPE conjugate (open diamonds, Fig. 3A, R=Et), or an mPEG-MeDTB-DSPE conjugate (open squares, Fig. 3A, R=Me) were prepared as described in Example 7.

15 For comparison, liposomes containing an mPEG-DSPE conjugate joined by a non-cleavable urethane linkage ("Stealth®" liposomes, closed squares) and liposomes with no PEG coating ("conventional" liposomes (closed triangles)) were also administered to the mice. As seen in Fig. 10, liposomes with no PEG coating (closed triangles) were cleared rapidly from the bloodstream, with a few percent remaining 24 hours after injection. Essentially the same clearance curve was obtained for "unhindered" mPEG-DTB-DSPE (Fig. 3A, R=H) liposomes (open circles). Liposomes having an mPEG-Me-DTB-DSPE conjugate (open squares) 20 have a significantly extended blood circulation time with over 25% of the liposomes in circulation 24 hours after injection. The circulation lifetime of these liposomes was comparable to that of so-called "Stealth®" liposomes, that have a coating of PEG joined by a non-cleavable linkage (closed squares). The liposomes with an mPEG-EtDTB-DSPE (open diamonds) had an extended blood circulation time for 25 the period between about 1-16 hours, similar to MeDTB-linked analog. These results indicate that the MeDTB linkage survives for a long time in blood plasma, and increasing the R group size from methyl (Me) to ethyl (Et) has little effect. Preferably, however, to obtain long-circulating liposomes, R group (Fig. 3A) should be larger than hydrogen.

30 In other studies not shown here, the blood circulation lifetime of liposomes containing an mPEG-MeDTB-lipid conjugate was compared to that of liposomes containing a polymer-lipid conjugate where the polymer and lipid were joined by an unhindered aliphatic disulfide bond. Unhindered disulfide linkages are readily cleaved *in vivo* (Worrell, N.R. et al., *Anti-Cancer Drug Design*, 1:179 (1986);

Thorpe, P.E. et al., *Cancer Res.*, 47:5924 (1987)), and the blood circulation lifetime of liposomes having polymer chains grafted to their surface by an unhindered aliphatic disulfide typically do not have the extended blood circulation lifetime observed for liposomes having stably linked polymer chains. The –SS-Ar-
5 CR³R⁴– linkage of the invention, and in particular the more sterically hindered DTB, e.g., R=CH₃ linkages, are more stable *in vivo* and achieve a longer blood circulation lifetime than liposomes with polymer chains attached via an unhindered aliphatic disulfide linkage. Importantly, cleavage of the polymer-DTB-lipid conjugate of the invention results in regeneration of the original lipid in unmodified form. This is
10 desirable since unnatural, modified lipids can have undesirable *in vivo* effects. At the same time, the conjugate is stable when stored in the absence of reducing agents.

B. Amine-Linked Polypeptide Conjugates

In another embodiment, the invention includes a conjugate as described above, where the amine-containing ligand compound is a polypeptide. A synthetic reaction scheme showing preparation of a polymer-DTB-polypeptide conjugate is shown in Fig. 11A, with mPEG as the exemplary polymer. In general, an amino-reactive derivative, such as mPEG-DTB-NPC, is prepared according to one the synthetic routes described above in Figs. 2, 4A, 5, and 6C. The leaving group can
15 be nitrophenol or any one of the others described above. The mPEG-DTB-nitrophenyl carbonate compound is coupled to an amine group on a polypeptide forming a urethane linkage. The R group adjacent the disulfide can be H, CH₃, C₂H₅, C₃H₇, or the like, and is selected according to the desired rate of disulfide cleavage.
20

Fig. 11B shows the thiolytic decomposition reaction and products exemplified by cysteine-mediated cleavage of the conjugate. As can be seen, the native protein, with no modification to the protein amine group, is regenerated upon cleavage. This is a significant feature of the present invention, i.e., the parent protein, polypeptide, and/or peptide is regenerated unchanged.

Attachment of polymer chains, such as PEG, to a polypeptide often
25 diminishes the enzymatic or other biological activity (Zalipsky, S., *Adv. Drug Delivery Rev.*, 16:157 (1995)), e.g., receptor binding, of the polypeptide. However, polymer modification of a polypeptide provides the benefits of decreased immunogenicity and antigenicity, as well as increased polypeptide blood circulation lifetime, improved polypeptide solubility, and increased polypeptide resistance to proteolysis. In the

present invention, the polymer-polypeptide conjugate is administered to a subject. As the conjugate circulates, exposure to physiologic reducing conditions, such as blood cysteine and other *in vivo* thiols and reducing enzymes, initiates cleavage of the hydrophilic polymer chains from the polypeptide (Fig. 11B). As the polymer 5 chains are cleaved from the polypeptide, the biological activity of the polypeptide is gradually increased and ultimately restored to the level of the native protein. In this way, the polypeptide initially has a sufficient blood circulation lifetime for biodistribution, and over time regains its full biological activity as the polymer chains are cleaved. This is essentially a prodrug approach where a polymer, e.g., PEG, is 10 acting as pro-moiety of a polypeptide drug.

In various embodiments, the polymer chains are attached to the polypeptide via the cleavable linking structures shown herein (i.e, the moiety L—CR¹R²—S-S—Ar—CR³R⁴—Y), or by a linkage which is more stable *in vivo*, or by a combination 15 of linkages. This approach allows for attachment of PEG chains to amino groups in the polypeptide essential for biological activity with a reversible linkage, and attachment to amino groups that are not essential to peptide activity with a more stable linkage.

In another embodiment, some or all of the polymer chains contain a targeting moiety at the free terminus. Such targeting moieties include any of the 20 moieties discussed above.

In a study performed in support of the invention, lysozyme was used as a model polypeptide. As described in Example 8, lysozyme was incubated with mPEG-MeDTB-nitrophenylcarbonate in 0.1 M borate, at pH 9, at a 2:1 ratio of nitrophenylcarbonate to amino group of lysozyme. After reaction times of 15 25 minutes and 3 hours, separate samples were characterized by SDS-PAGE. A comparative conjugate was prepared by reacting lysozyme under the same conditions for 60 minutes with mPEG-nitrophenyl carbonate, which will form a stable mPEG-lysozyme conjugate.

Fig. 12A shows a rendering of the SDS-PAGE gel. Lane 1 corresponds to 30 the reaction product formed after 15 minutes reaction of lysozyme with mPEG-MeDTB-nitrophenyl carbonate, and Lane 2 represents the reaction product formed after 1 hour reaction time. Lane 3 represents native lysozyme, and Lane 4 corresponds to lysozyme reacted for 1 hour with mPEG-nitrophenylcarbonate (Veronese *et al.*, *Applied Biochem. and Biotech.*, 11:141-152 (1985)). The

molecular weight markers in Lane 5 are as follows, from the top down:

<u>Molecular Weight (kDaltons)</u>	<u>Marker</u>
1163	β -galactosidase
97.4	phosphorylase b
66.3	bovine serum albumin
55.4	glutamic dehydrogenase
36.5	lactate dehydrogenase
31	carbonic anhydrase
21.5	trypsin inhibitor
14.4	lysozyme

5 Comparison of Lane 1 and Lane 2 shows that the longer reaction time results in an increase in molecular weight of the product, consistent with additional mPEG chains conjugated to the polypeptide at longer incubation time.

10 Lanes 6-9 of the gel correspond to the samples in Lanes 1-4, but under reducing SDS-PAGE conditions, after treatment with 2% β -mercaptoethanol for 10 minutes at 70°C. The mPEG-MeDTB-lysozyme conjugate, after exposure to a reducing agent, decomposed to regenerate native lysozyme, as evidenced by the band in Lanes 6 and 7 at 14.4 kDa. In contrast, the stable mPEG-lysozyme conjugate was not affected upon incubation with a reducing agent, as evidenced by the agreement in the profile in Lane 9 and Lane 4.

15 Also evident from the SDS-PAGE profile is that covalent attachment of mPEG-MeDTB to a protein forms a mixture of conjugates containing various mPEG-protein ratios. This ratio is dependent on the reaction time and conditions. This is clearly seen in viewing the bands in Lanes 1 and 2, where Lane 1 shows lysozyme derivatized with about 1-6 PEG chains. In Lane 2, the longer reaction time yielded mPEG-MeDTB-lysozyme conjugates with a higher mPEG-protein ratio. All cleavable conjugates were readily cleaved to regenerate the native protein, as seen in the bands of Lanes 6 and 7.

20 A similar study was done using cysteine as a reducing agent on a purified mPEG₅₀₀₀-MeDTB-lysozyme conjugate. The results of the SDS-PAGE profile are shown in Fig. 12B, where an mPEG-MeDTB-lysozyme conjugate (Lane 1) treated with 1 mM cysteine (Lane 2), 5 mM cysteine (Lane 3), and 50 mM cysteine (Lane

4) are shown. Also shown are an mPEG-lysozyme conjugate (no cleavable linkage) before (Lane 5) and after treatment (Lane 6) with 50 mM cysteine. Lysozyme before (Lane 7) and after (Lane 8) with 50 mM cysteine are also shown. Lane 9 corresponds to protein markers. It is apparent that cysteine is an effective 5 reducing agent for the DTB linkage and it does not affect the non-cleavable control.

Fig. 13A shows the reverse-phase HPLC traces of mPEG₅₀₀₀-MeDTB-lysozyme conjugates before contact with 1 mM cysteine and as a function of time after contact with cysteine. Example 8 describes the methodology of the reverse-10 phase HPLC analysis. The trace at the bottom of Fig. 13A corresponds to neat lysozyme. As seen, cleavage of the DTB linkage to release lysozyme is apparent in the trace taken at 10 minutes post contact with cysteine, with cleavage and release of lysozyme near completion by the 30 minute time point. As illustrated in Fig. 13B, nearly all the cell-lysing activity of lysozyme was restored upon the 15 cleavage of PEG.

Fig. 14A-14B are ion spray LC/MS scans of an mPEG-MeDTB-lysozyme conjugate after exposure to cysteine (Fig. 14A) and of neat lysozyme (Fig. 14B) (Example 8). As seen the two traces are identical, indicating the lysozyme in its native form is released from the conjugate upon cleavage of the DTB linkage.

20 The mPEG₁₂₀₀₀-MeDTB-lysozyme conjugates were administered *in vivo* to rats to characterize the *in vivo* behavior of the conjugate and its ability to cleave under physiologic conditions. In a first study, nine rats were divided into three test groups for administration of PEG-lysozyme, PEG-MeDTB-lysozyme, or free 25 lysozyme. The test compound was administered intravenously or subcutaneously and blood samples were taken at defined times for analysis of blood lysozyme concentration. The results are shown in Figs. 15A-15B.

Fig. 15A shows the results for the animals treated intravenously (i.v.) with ¹²⁵I-lysozyme (inverted triangles), PEG₁₂₀₀₀-lysozyme (diamonds) or PEG₁₂₀₀₀-MeDTB-lysozyme (circles). Free lysozyme is rapidly cleared from the 30 bloodstream, with more than 10-fold blood concentration decrease within one hour after administration ($T_{1/2\alpha} = 0.25$ hr; $T_{1/2\beta} = 24.1$ hr). The lysozyme-PEG conjugates had a substantially longer blood circulation lifetime (PEG₁₂₀₀₀-MeDTB-lysozyme $T_{1/2\alpha} = 3.71$ hr; $T_{1/2\beta} = 37.2$ hr; PEG₁₂₀₀₀-lysozyme $T_{1/2\alpha} = 2.17$ hr; $T_{1/2\beta} = 32.9$ hr). This experiment suggests that MeDTB linkage survives in circulation

long enough to realize the benefits associated with PEG, discussed above. Hindered disulfides have improved stability in plasma (Worrell, N.R. *et al.*, *Anti-Cancer Drug Design*, 1:179 (1986); Thorpe, P.E. *et al.*, *Cancer Res.*, 47:5924 (1987)).

5 Fig. 15B shows the results for the animals treated subcutaneous with ^{125}I -lysozyme (inverted triangles), PEG₁₂₀₀₀-lysozyme (diamonds) or PEG₁₂₀₀₀-MeDTB-lysozyme (circles). The PEG-linked lysozyme conjugates are slower to reach the bloodstream and are cleared more slowly from the blood, relative to native lysozyme. In this study, the best bioavailability (larger AUC) was obtained for the
10 cleavable PEG₁₂₀₀₀-MeDTB-lysozyme.

A PEG-MeDTB-lysozyme conjugate was assessed by SDS-PAGE, and the results are shown in Fig. 16A. The samples were stained with iodine, for PEG visualization. Lane 1 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme, Lane 2 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme in cysteine buffer, Lane 3 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme in plasma for 18 hours, Lane 4 corresponds to mPEG₅₀₀₀-MeDTB-lysozyme with 1,4-dithio-DL-threitol (DTT) added, Lane 5 is a plasma sample control, and Lane 6 is PEG molecular weight markers. The gel suggests that MeDTB is cleaved in plasma by a high molecular weight protein, forming a disulfide-linked PEG intermediate. Free PEG is liberated
15 after treatment with low molecular weight thiol, such as DTT.
20

In another set of studies, it was shown that the thiol group of albumin was acting as the cleaving agent. Albumin is an abundant protein-thiol in plasma (Kratz, F. *et al.* *J. Med. Chem.*, 45:5523 (2002)). The SDS-PAGE gel from this study is shown in Fig. 16B, where Lanes 1-12 were as follows:

25

Lane	Test Substance
1	thiol-blocked ($\text{I}-\text{CH}_2\text{CONH}_2$) albumin ¹
2	empty
3	albumin (0.7 thiols / mole)
4	mPEG-DTB-lysozyme + cysteine
5	mPEG-lysozyme + albumin
6	mPEG-lysozyme
7	PEG molecular weight markers (5, 10, 23, 36, 56 kDaltons)
8	mPEG-DTB-lysozyme + albumin + dithio threitol (DTT)
9	mPEG-DTB-lysozyme + thiol-blocked albumin
10	mPEG-DTB-lysozyme + albumin
11	mPEG-DTB-lysozyme
12	lysozyme

¹all references to "albumin" are bovine serum albumin

The gel was stained for visibility of PEG. Lanes 1 and 3 show that albumin appears as a pair of spots near the top portion of the gel. Lane 11 shows the position of the mPEG-DTB-lysozyme conjugate, close to the 10,000 Dalton PEG molecular weight marker. Lane 4 shows that the mPEG-DTB-lysozyme conjugate is cleaved in the presence of cysteine, as evidenced by the spot at 5000 Daltons that corresponds to the released PEG. Lane 10 corresponds to mPEG-DTB-lysozyme treated with albumin and no spot on the gel corresponding to the mPEG-DTB-lysozyme conjugate is visible (compare to Lane 11), nor is a spot corresponding to released PEG visible (compare to Lane 4). This suggests that the mPEG-DTB-lysozyme conjugate was cleaved by the albumin and that the cleavage products are in the spot at the top of the gel that corresponds to the albumin. Treatment of the mPEG-DTB-lysozyme with both albumin and dithio threitol (DTT) (Lane 8) resulted in release of the PEG from the albumin, lysozyme, PEG mixture, as evidenced by the appearance of a spot at the 5000 Dalton position. This study shows that the DTB linkage is cleaved by albumin.

In other studies, erythropoietin (EPO) was conjugated to mPEG₁₂₀₀₀MeDTB-NPC at pH 7 and PEG/protein molar ratios of 6:1 ("lightly PEGylated") and at pH 8 and PEG/protein molar ratios of 9:1 ("heavily PEGylated"). As comparative non-cleavable conjugates, EPO was conjugated to mPEG₁₂₀₀₀-NPC in the same buffer at PEG/protein molar ratios of 8:1 and 12:1. Preparation of the PEG-modified EPO is described in Example 9. The mPEG-MeDTB-EPO and mPEG-EPO conjugates were characterized by SDS-PAGE and HPLC-SEC, with the results shown in Figs. 17A-17B and Figs. 18A-18D.

An SDS-PAGE profile of the mPEG-EPO conjugates is shown in Figs. 17A-17B. The conjugates were stained for protein detection with Coomassie blue (Fig. 17A) and for PEG detection with iodine (Fig. 17B). In both Figs. 17A-17B, Lane 1 corresponds to EPO control. Lanes 2 and 4 in the figures correspond to mPEG₁₂₀₀₀-MeDTB-erythropoietin with PEG/protein ratio of 6:1 ("lightly PEGylated") and with a PEG/protein ratio of 9:1 ("heavily PEGylated"). Lanes 3 and 5 in both figures correspond to mPEG₁₂₀₀₀- erythropoietin at PEG/protein ratio of 8:1 and 12:1 respectively. Lane 6 corresponds to molecular (MW) protein markers in Fig. 17A and MW PEG markers in Fig. 17B. The gels show formation of PEG-EPO with comparable numbers of PEG moieties after the conjugation with both cleavable and non-cleavable PEG reagents.

Figs. 18A-18D are HPLC-SEC traces of the mPEG₁₂₀₀₀- erythropoietin conjugates prepared as described in Example 9. Fig. 18A shows the trace for mPEG₁₂₀₀₀-MeDTB-erythropoietin with a PEG/protein ratio of 6:1. The peak (peak #2) that elutes at 15.6 minutes corresponds to conjugates having a 1:1 PEG/EPO ratio. Fig. 18B shows the trace for the comparative control conjugate of mPEG₁₂₀₀₀- erythropoietin at PEG/protein ratio of 8:1. Peak #2 that elutes at 15.5 minutes corresponds to conjugates having a 1:1 PEG/EPO ratio.

Figs. 18C-18D show the HPLC-SEC traces for mPEG₁₂₀₀₀-MeDTB-erythropoietin with PEG/protein ratio of 9:1 and for mPEG₁₂₀₀₀- erythropoietin at PEG/protein ratio of 12:1, respectively. The largest peaks (peak nos. 3 and 4) in the traces correspond to conjugates of 2:1 PEG/EPO ratio (peak no. 3) and of PEG/EPO ratios of greater than 2:1, e.g., 3:1, 4:1, 5:1, etc. The traces in Figs. 18C and 18D when compared to those in Figs. 18A, 18B show that the higher degree of PEG conjugation in the more "heavily" pegylated conjugates of Figs. 18C-18D.

In another study, a PEG-DTB-EPO conjugate was prepared similar to the procedure set forth in Example 9, but with isopropyl (C₃H₇) as the R group (see Fig. 11A, R= C₃H₇, polypeptide = EPO). This conjugate is referred to as mPEG-iPrDTB-EPO. The conjugate was characterized via HPLC alone and in the presence of 5 mM cysteine, and the HPLC traces are shown in Figs. 19A-19C. Fig. 19A is a trace for neat erythropoietin and a peak at 34 minutes is observed. Fig. 19B is the trace for mPEG₃₀₀₀₀-isopropyl-DTB-erythropoietin and Fig. 19C for the conjugate after treatment with 5 mM cysteine. Recovery of the neat erythropoietin polypeptide after treatment of the conjugate with a reducing agent is evident from the appearance of the peak at 34 minutes in Fig. 19C.

The examples provided above for lysozyme and erythropoietin are merely exemplary, and polypeptides contemplated for use are unlimited and can be naturally-occurring or recombinantly produced polypeptides. Small, human recombinant polypeptides are preferred, and polypeptides in the range of 10-50 KDa are preferred. Exemplary polypeptides include chemokines, cytokines, such as tumor necrosis factor (TNF), interleukins and interferons, granulocyte colony stimulating factor (GCSF), enzymes, and the like. Viral polypeptides are also contemplated, where the surface of a virus is modified to include one or more polymer chain linked via a cleavable linkage as described herein. Modification of a

virus containing a gene for cell transfection would extend the circulation time of the virus and reduce its antigenicity, thereby improving delivery of an exogenous gene.

It will be appreciated that PEG is merely an exemplary hydrophilic polymer, and that any of the hydrophilic polymers described above are contemplated for use.

5 The molecular weight of the polymer is selected depending on the polypeptide, the number of reactive amines on the polypeptide, and the desired size of the polymer-modified conjugate.

Moreover, in terms of cleaving the DTB linkage, cysteine is a simple thiol, ubiquitously present in physiological environments and an effective cleaving agent 10 for the DTB linkage. However, other thiols, e.g., glutathione, protein-SH, are effective as well. In studies not shown here, PEG-DTB-lysozyme conjugates were cleaved by serum albumin, where the conjugate was incubated *in vitro* with bovine serum albumin and then analyzed by SDS-PAGE. The profiles of the SDS-PAGE showed successful cleavage of the DTB linkage by bovine serum albumin, as shown 15 in Figs. 16A-16B.

C. Cleavable Polymer-Drug Conjugates

In yet another embodiment of the invention, a conjugate of the form 'polymer-SS-Ar-CR³R⁴-amine-containing drug' is contemplated. The conjugate is of the 20 structure described above, where the amine-containing ligand is derived from the amine-containing drug. Modification of therapeutic drugs with PEG is effective to improve the blood circulation lifetime of the drug, to reduce any immunogenicity, and to allow for an enhanced permeability and retention (EPR) effect (Maeda, H. *et al.*, *J. Controlled Release*, 65:271 (2000)). Typically, macromolecular drugs are subject to 25 the EPR effect.

In this embodiment of the invention, in general a polymer-SS-Ar-CR³R⁴-amine-containing drug conjugate is prepared according to any of the reaction schemes described above, with modifications as necessary to provide for the particular drug. A wide variety of therapeutic drugs have a reactive amine moiety, 30 and the invention contemplates any such drugs with no limitation. Examples include mitomycin C, bleomycin, doxorubicin, amphotericin B, and ciprofloxacin. Figs. 20A-20B show the structures for conjugates of mitomycin C and 5-fluorouracil, respectively, modified with a PEG-DTB conjugate. The conjugate with 5-fluorouracil does not require acyl because 5FU by itself as a weak acid is a good leaving group

moiety. Example 10A details preparation of an mPEG-MeDTB-mitomycin C conjugate.

It will be appreciated that the scope of this embodiment is not limited to amine-containing drugs or to attachment of polymer-SS-Ar-CR³R⁴ conjugate to an amino moiety. For example, the conjugate can be attached to a drug through a hydroxy moiety or a carboxyl moiety, as Figs. 20C-20D illustrate. Fig. 20C shows a drug conjugate of paclitaxel, where the cleavable polymer is attached via the 2'-hydroxyl group, and Fig. 20C shows a conjugate of chlorambucil, where the cleavable polymer is attached via a carboxyl moiety. Example 10B details preparation of an mPEG-DTB-paclitaxel drug conjugate.

In studies performed in support of the invention, the model compound *para*-nitroaniline (PNA) was incorporated into mPEG-MeDTB-*para*-nitroaniline to represent a model drug conjugate, as shown in Fig. 21A. Decomposition of the conjugate upon exposure to a reducing agent yields the products shown in the figure, with the drug *para*-nitroaniline regenerated in an unmodified state. The dramatic difference in UV, as seen in Fig. 21B (*para*-nitroaniline, diamonds; mPEG₂₀₀₀-MeDTB-*para*-nitroaniline, squares), allows or convenient monitoring of the cleavage reaction and PNA liberation.

The mPEG-MeDTB-*para*-nitroanilide conjugate was incubated *in vitro* in buffer containing 0.15 mM cysteine. The percent of PNA release at various times is shown in Figs. 22A-22B. It is apparent from Fig. 22A that mPEG-nitroanilide without the DTB linkage (circles) is not cleaved by cysteine, while the DTB-linked conjugates (DTB=diamond; MeDTB=square; EtDTB=triangle) liberated PNA with rates dependent on the R group (see Fig. 21A). When R=H, the PNA release was fast. Changing R to CH₃ (MeDTB, square) slowed down the PNA release, which was essentially of the same rate as for R=C₂H₅ (EtDTB, triangle) analog. The molecular weight of PEG had no effect on the rate of cysteine-mediated cleavage of the conjugates.

From the foregoing, it can be seen how various objects and features of the invention are met. The conjugates of the invention comprise an amine-, hydroxy- or carboxyl-containing ligand reversibly joined to a hydrophilic polymer via a cleavable linkage of the form -SS-Ar-CR³R⁴- as described above. This linkage, when subjected to mild thiolytic conditions, that are attainable under physiologically, is cleaved to regenerate accordingly the original amine-, hydroxyl-, and carboxyl-

containing ligand compounds in their original, fully bioactive form. The rate of cleavage can be controlled by steric hinderance of the disulfide in the linkage and/or by controlling the thiolytic conditions *in vivo*. The conjugates, prior to cleavage of the –SS-Ar-CR³R⁴– linkage and attached hydrophilic polymer(s), are provided with an increased blood circulation lifetime, improved stability and reduced immunogenicity. In cases where modification of the drug with the polymer and linkage moiety group causes a reduction or loss of therapeutic activity, activity of the drug is regained after administration and thiolytic cleavage.

10 **III. Examples**

The following examples further illustrate the invention described herein and are in no way intended to limit the scope of the invention.

Materials

15 All materials were obtained from commercial vendors, such as Aldrich Corporation.

Example 1

Synthesis of mPEG-DTB-DSPE

20 mPEG-DTB-nitrophenylcarbonate (300 mg, 0.12 mmol, 1.29 eq) was dissolved in CHCl₃ (3 mL). DSPE (70 mg, 0.093 mol) and TEA (58.5 μ l, 0.42 mmol, 4.5 eq) were added to PEG-solution, and was stirred at 50°C (oil bath temp). After 15 minutes, TLC showed that the reaction didn't go to completion. Then two portions of TEA (10 μ l, and 20 μ l), and few portions of mPEG-MeDTB-25 nitrophenylcarbonate (50 mg, 30 mg, 10 mg) were added every after 10 minutes, until the reaction went to completion. Solvent was evaporated. Product mixture was dissolved in MeOH, and 1 g of C8 silica was added. Solvent was evaporated again. Product containing C8 silica was added on the top of the column, and was eluted with MeOH: H₂O gradient (pressure), MeOH: H₂O = 30: 70, 60 mL; MeOH: H₂O = 50: 50, 60 mL; MeOH: H₂O = 70: 30, 140 mL (starting material eluted); MeOH: H₂O = 75: 25 = 40 mL; MeOH: H₂O = 80: 20, 80 mL (product eluted); MeOH: H₂O = 85: 15, 40 mL; MeOH: H₂O = 90: 10, 40 mL; MeOH = 40 mL; CHCl₃: MeOH: H₂O = 90: 18: 10, 40 mL. Fractions containing pure product were combined and evaporated to give product as colorless thick liquid. Tertiary

butanol (5 mL) was added to it, lyophilized and the dried in *vacuo* over P₂O₅ to give product as white fluffy solid (252 mg, 89% yield).

Both the *ortho*- and *para*-mPEG₂₀₀₀-DTB-DSPE conjugates prepared in a similar way were purified by silica gel chromatography (methanol gradient 0-10% 5 in chloroform, ≈70% isolated yield) and the structures confirmed by NMR and MALDI-TOFMS. (¹H NMR for *para* conjugate: (d6-DMSO, 360 MHz) δ 0.86 (t, CH₃, 6 H), 1.22 (s, CH₂ of lipid, 56H), 1.57 (m, CH₂CH₂CO₂, 4H), 2.50 (2xt, CH₂CO₂, 4H), 2.82 (t, CH₂S, 2H), 3.32 (s, OCH₃, 3H), 3.51 (m, PEG, ≈180 H), 4.07 (t, PEG-10 CH₂OCONH, 2H), 4.11 & 4.28 (2 x dd CH₂CH of glycerol, 2H), 4.98 (s, benzyl- CH₂, 2H), 5.09 (m, CHCH₂ of lipid), 7.35 & 7.53 (2 x d, aromatic, 4H) ppm. The *ortho* conjugate differed only in benzyl and aromatic signals at 5.11 (s, CH₂, 2H), and 7.31 (d, 1H), 7.39 (m, 2H) 7.75(d, 1H) ppm.

15 MALDI-TOFMS produced a distribution of ions spaced at equal 44 Da intervals, corresponding to the ethylene oxide repeating units. The average molecular weights of the conjugates was 3127 and 3139 Da for *para* and *ortho* isomers respectively (theoretical molecular weight ≈3100 Da).

The reaction scheme is illustrated in Fig. 2 and Fig. 4B (R=H).

Example 2

20 Synthesis of mPEG-MeDTB-DSPE

2A. Synthesis of mPEG₂₀₀₀-DTB-DSPE

Synthesis of mPEG-DTB-DSPE and the intermediate products according to the reaction scheme illustrated in Figs. 4A-4B are representative procedures for R=Me and mPEG 5000 Daltons, but are applicable to other molecular weights and 25 R=C₂H₅.

A. Synthesis of mPEG-nitrophenylcarbonate (mPEG-NPC)

mPEG(5K)-OH (40 g, 8 mmol) was dried azeotropically with toluene (total volume was 270 mL, 250 mL was distilled off by Dean-Stark). Dichloromethane (100 mL) was added to mPEG-OH. *para*-nitrophenyl chloroformate (2.42 g, 12 mmol, 1.5 eq), and TEA (3.3 mL, 24 mmol, 3 eq) were added to the solution at 4°C (ice water), while taking precautions against moisture. Light yellow TEA hydrochloride salt was formed. After 15 minutes cooling bath was removed, and

the reaction mixture was stirred at room temperature overnight. TLC showed (CHCl₃: MeOH: H₂O = 90: 18: 2) that the reaction was complete. Solvent was evaporated. The residue was dissolved in ethyl acetate (~50°C). TEA hydrochloride salt was filtered off and washed with warm ethyl acetate. Solvent was evaporated and the product recrystallized with isopropanol (three times).
5 Yield: 38.2 g (92%). ¹H NMR (DMSO-d₆, 360 MHz) δ 3.55 (s, PEG, 450H); 4.37 (t, PEG-CH₂, 2H); 7.55 (d, C₆H₅, 2H); 8.31 (d, C₆H₅, 2H).

B. Synthesis of mPEG-oxycarbonylamino-2-propanol

10 1-Amino-2-propanol (1.1 mL, 14.52 mmol, 3 eq), and TEA (2.02 mL, 14.52 mmol, 3 eq) were added to mPEG (5K)-nitrophenyl carbonate (25 g, 4.84 mmol) in DMF (60 mL) and CH₂Cl₂ (40 mL). The yellow, clear reaction mixture was stirred at room temperature for 30 minutes. TLC (CHCl₃: MeOH = 90: 10) showed that the reaction went to completion. Solvent (dichloromethane) was evaporated.
15 Isopropanol (250 mL) was added to the product mixture in DMF (60 mL). Product precipitated immediately, and then recrystallized with iPrOH (three times). Yield: 22.12 g (90%). ¹H NMR (DMSO-d₆, 360 MHz) δ .98 (d, CH₃CH(OH)CH₂, 3H); 3.50 (s, PEG, 180H); 4.03 (t, PEG-CH₂, 2H); 4.50 (d, CH₃CHOH, 1H); 7.0 (t, mPEG-OCONH).

20

C. Synthesis of mPEG-oxycarbonylamino-2-propyl methane sulfonate

mPEG(5K)-oxycarbonyl-2-amino propanol (22.12 g, 4.34 mmol) was dried azeotropically with toluene (45 mL). Dichloromethane (60 mL) was added to it. Methane sulfonyl chloride (604.6 μl, 7.81 mmol, 1.8 eq) and TEA (3.93 mL, 28.21 mmol, 6.5 eq) were added to the solution at 0°C while maintaining stirring and taking precautions against moisture. After 30 minutes, cooling bath was removed, and the reaction mixture was stirred at room temperature for 16 hours, and then evaporated. Ethyl acetate was added to remove TEA salts. The product was recrystallized with isopropanol (three times). Yield: 20.27 g (90%). ¹H NMR (DMSO-d₆, 360 MHz) δ 1.27 (d, CH₃CHOSO₂CH₃, 3H); 3.162 (s, CH₃O₂SOCH, 3H); 3.50 (s, PEG, 180H); 4.07 (t, PEG-CH₂, 2H); 4.64 (q, CH₃CHOH, 1H); 7.43 (t, mPEG-OCONH).

D. Synthesis of mPEG-oxycarbonyl-2-aminopropyl-S-triphenylmethyl
Sodium hydride (377 mg, 9.4 mmol, 4.75 eq) was added to anhydrous
toluene (60 mL) at 0°C (on ice water). After 5 minutes, triphenylmethanethiol (3.92
g, 14.6 mmol, 7.15 eq) was added to the solution. After an additional 10 minutes,
5 mPEG-oxycarbonyl-2-aminopropyl-methane sulfonate (10.27 gm, 1.98 mmol) was
added to the reaction mixture. The solution turned yellow. After 45 minutes, TLC
(CHCl₃: MeOH: H₂O = 90: 18: 2) showed that the reaction went to completion.
Acetic acid (445.57 µL, 7.42 mmol, 3.75 eq) was added to the reaction mixture to
neutralize excess of sodium hydride. The solution became thick and whitish.
10 Solvent was evaporated and the residue treated with warm ethyl acetate (30 mL)
and isopropanol (70mL). The precipitate was filtered off. Then the product was
precipitated by cooling, and then recrystallized with isopropanol/tert-butyl alcohol
(100mL/20mL). Yield: 8.87 g (84%). ¹H NMR (DMSO-d₆, 360 MHz) δ .74 (d,
CH₃CHSC(C₆H₅)₃, 3H), 3.50 (s, PEG, 180H), 4.0 (t, PEG-CH₂, 2H), 4.64 (q,
15 CH₃CHOH, 1H); 7.49 (t, mPEG-OCONH); 7.20-7.41 (m, SC(C₆H₅)₃, 15H).

E. Synthesis of mPEG-oxycarbonyl-2-aminopropyl-S-sulfenyl
carbomethoxy Derivative

mPEG(5K)-oxycarbonyl-2-aminopropyl-S- triphenylmethyl (8.87 g, 1.65
20 mmol) was dissolved in TFA/CH₂Cl₂ (10 mL/10 mL) at 0°C. Under vigorous
stirring, methoxy carbonylsulfenyl chloride (185.5 µL, 1.99 mmol, 1.2 eq) was
added to the solution. The reaction mixture was stirred at room temperature for 15
minutes. TLC (CHCl₃: MeOH = 90: 10) showed that the reaction was complete.
Solvents were evaporated. The product mixture was recrystallized with
25 isopropanol:tert-butyl alcohol (80 mL: 20 mL) two times. Tertiary butanol (5 mL)
was added to the product, which was then lyophilized and dried in *vacuo* over
P₂O₅ to give product as white fluffy solid (8.32g, 97% yield). ¹H NMR (DMSO-d₆,
360 MHz) δ 1.17 (d, CH₃CHSSCOOCH₃, 3H); 3.42 (s, PEG, 180H); 3.84 (s,
CH₃OCOSSCH, 3H); 4.05 (t, mPEG-CH₂, 2H); 7.38 (t, mPEG-OCONH, 1H).

F. Synthesis of mPEG-oxycarbonyl-2-aminopropyl dithiobenzyl alcohol (mPEG-MeDTB-OH)

mPEG(5K)-S-Scm (8.32 g, 1.6 mmol) was dissolved in dry methanol (20 mL), and chloroform (2.5 mL). A solution of mercapto benzyl alcohol (592 mg, 4 mmol, 2.5 eq) in dry methanol (2 mL) was added to the solution. The reaction mixture was stirred at room temperature for 18 h. Solvent was evaporated, product mixture was recrystallized with ethyl acetate/isopropanol, 30 mL/100 mL (3 times). NMR showed ~16% product was formed. So, another portion of mercapto benzyl alcohol (322 mg, 2.18 mmol, 1.8 eq) in MeOH (2 mL) was added dropwise to the product mixture in MeOH/CHCl₃ (24 mL/1 mL) at 0°C (ice water). After addition (~10 minutes) completion, ice bath was removed, and the reaction mixture was stirred at room temperature for 24 h. TLC (CHCl₃: MeOH: H₂O = 90: 18: 2) showed that the reaction was complete. Solvent was evaporated, and then product mixture was recrystallized with ethyl acetate/isopropanol, 30 mL/100 mL. Yield: 7.25 g, (94%). ¹H NMR (DMSO-*d*₆, 360 MHz) δ 1.56 (d, CH₃CHSSC₆H₅CH₂OH, 3H); 3.29 (CH₃O-PEG, 3H); 3.50 (s, PEG, 450H); 4.03 (t, mPEG-CH₂, 2H); 4.46 (d, HOCH₂C₆H₅, 2H); 5.16 (t, HOCH₂C₆H₅, 1H); 7.30 (d, C₆H₅, 2H); 7.40 (br t, mPEG-OCONH, 1H); 7.50 (d, C₆H₅, 2H).

G. Synthesis of mPEG-oxycarbonyl-2-aminopropyl dithiobenzyl nitrophenylcarbonate (mPEG-MeDTB-NPC)

mPEG(5K)-oxycarbonyl-2-aminopropyl-dithiobenzyl alcohol (6.75 g, 1.27 mmol) was dissolved in CHCl₃ (30 mL), *p*-nitrophenyl chloroformate (513 mg, 2.54 mmol, 2 eq) was added to it at 0°C (ice water). After 5 minutes triethylamine (531 μL, 3.81 mmol, 3 eq) was added. After 30 minutes the ice bath was removed, and the reaction mixture was stirred at room temperature overnight. Solvent was evaporated. The product mixture was dissolved in ethyl acetate. TEA salt was filtered off, and then solvent was evaporated. Then the product mixture was recrystallized with ethyl acetate/isopropanol, 30 mL/100 mL (three times). Yield: 6.55 g (94%). ¹H NMR (DMSO-*d*₆, 360 MHz) δ 1.17 (d, CH₃CHSSC₆H₅, 3H); 3.24 (CH₃O-PEG, 3H); 3.40 (s, PEG, 180H); 4.03 (br t, mPEG-CH₂, 2H); 5.28 (S, C₆H₅CH₂OCO, 2H); 7.45-8.35 (m, C₆H₅)₂, 8H)

H. Synthesis of mPEG₅₀₀₀-MeDTB-DSPE Conjugate

mPEG-MeDTB-nitrophenylcarbonate (766 mg, 0.14 mmol, 1.29 eq) was dissolved in CHCl₃ (5 mL). DSPE (70 mg, 0.093 mol) and TEA (58.5 μ L, 0.42 mmol, 4.5 eq) were added to the solution, and was stirred at 50°C (oil bath temp).

5 After 20 minutes, TLC showed that the reaction didn't go to completion. More mPEG-MeDTB-nitrophenylcarbonate (total 1239 mg, 0.23 mmol, 2.47 eq) and 1-hydroxybenztriazole (HOBt) (25 mg, 0.19 mmol, 2 eq) were added. After 20 minutes, TLC (CHCl₃: MeOH: H₂O = 90: 18: 2, with molybdenum and ninhydrin) showed that the reaction was complete. Solvent was evaporated. Product mixture

10 was dissolved in warm (42°C) ethyl acetate. It was a cloudy solution (TEA salt precipitated). The solution was filtered, and solvent was evaporated. MeOH, and 2 g of C8 silica was added to the product mixture. Solvent was evaporated again. Product containing C8 silica was added on the top of the column, and was eluted with MeOH: H₂O gradient (pressure), MeOH : H₂O 30: 70, 100 mL; MeOH H₂O 50:

15 50, 100 mL; MeOH H₂O 70: 30, 250 mL (starting material eluted); MeOH H₂O 75: 25 = 40 mL; MeOH H₂O 80: 20, 200 mL (product eluted); MeOH = 100 mL; CHCl₃: MeOH: H₂O = 90: 18: 2, 100 mL; CHCl₃: MeOH H₂O = 75: 36: 6, 100 mL. Fractions containing pure product were combined and evaporated to give product as colorless thick liquid. Tertiary butanol (5 mL) was added to it, lyophilized and

20 then dried in *vacuo* over P₂O₅ to give product as white fluffy solid (467 mg, 83% yield). ¹H NMR (DMSO-*d*₆, 360 MHz) δ 0.83 (d, 2(CH₃), 3H); 1.16 (d, CH₃CHSSC₆H₅, 3H); 1.21 (s, 28(CH₂, 56H); 1.47 (br m, CH₂CH₂CO, 4H); 2.23 (2 x t, CH₂CH₂CO, 4H); 3.50 (s, PEG, 180H); 4.04 (br t, mPEG-CH₂, 2H); 4.05 (trans d, PO₄CH₂CHCH₂, 1H); 4.24 (cis d, PO₄CH₂CHCH₂, 1H); 4.97 (s, C₆H₅CH₂OCO-

25 DSPE, 2H); 5.03 (br s, (PO₄CH₂CH, 1H); 7.32 (d, C₆H₅, 2H); 7.53 (d, C₆H₅, 2H); 7.52 (br s, mPEG-OCONH, 1H). MALDI-TOFMS produced a bell shaped distribution of ions spaced at equal 44 Da intervals, corresponding to the ethylene oxide repeating units. The average molecular mass of the conjugate and mPEG-thiol (mostly cleaved disulfide) is 6376 and 5368 Da (theoretical molecular mass

30 ~6053, and 5305 Daltons).

2B. Synthesis of mPEG₂₀₀₀-ethyIDTB-DSPE

mPEG-oxycarbonyl amino-2-butyl dithiocarbonyl methoxide (S-Scm derivative, 2 g, 0.90 mmol) was dissolved in dry methanol (8 mL). At the beginning

the solution was cloudy, but after 5 minutes it became a clear solution. Mercaptobenzyl alcohol (265.2 mg, 1.79 mmol, 2 eq) was added to the PEG-solution. The reaction mixture was stirred at room temperature for 30 hours. Ether (70 mL) was added to the reaction solution to precipitate the product, and
 5 kept at 4°C overnight. The white solid was filtered and recrystallized with ethyl acetate/ether, 30 mL/70 mL. Yield : 1.96 g, (94%). ^1H NMR (DMSO- d_6 , 360 MHz) δ 0.86 (d, $\text{CH}_3\text{CH}_2\text{CHSSC}_6\text{H}_5\text{CH}_2\text{OH}$, 3H); 1.42 (p, $\text{CH}_3\text{CH}_2\text{CHSSC}_6\text{H}_5\text{CH}_2\text{OH}$, 1H); 1.64 (p, $\text{CH}_3\text{CH}_2\text{CHSSC}_6\text{H}_5\text{CH}_2\text{OH}$, 1H); 3.51 (s, PEG, 180H); 4.03 (t, mPEG- CH_2 , 2H); 4.47 (d, $\text{HOCH}_2\text{C}_6\text{H}_5$, 2H); 5.20 (t, $\text{HOCH}_2\text{C}_6\text{H}_5$, 1H); 7.31(d, C_6H_5 , 2H); 7.42 (br t, mPEG-OCONH, 1H); 7.49 (d, C_6H_5 , 2H). The resulting
 10 mPEG-EtDTB-OH was activated to form mPEG-EtDTB-NPC as described in Example 2A(G) above.

N-hydroxy-s-norbornene-2,3-dicarboxylic acid imide (HONB) (48 mg, 0.269 mmol) was added to DSPE (55 mg, 0.073 mmol) in CHCl_3 (3 mL) at 50°C (oil bath
 15 temperature). After 3-4 minutes it became a clear solution. Then mPEG-EtDTB-nitrophenylcarbonate (334 mg, 0.134 mmol) was added, followed by triethylamine (TEA, 45 μl , 0.329 mmol). After 20 minutes TLC (CHCl_3 : MeOH : H_2O = 90 : 18 : 2) showed that the reaction went to completion (molybdenum and ninhydrin sprays). Solvent was evaporated. Product mixture was dissolved in methanol,
 20 mixed with C8 silica (1g) and striped of the solvent by rotary evaporation. The solid residue was added on the top of the C8-column, which was then eluted with MeOH : H_2O gradient (pressure), MeOH : H_2O = 30 : 70, 60 mL; MeOH : H_2O = 50 : 50, 60 mL; MeOH : H_2O = 70 : 30, 140 mL; MeOH : H_2O = 75 : 25 = 140 mL (starting material eluted); MeOH : H_2O = 80 : 20, 80 mL; MeOH : H_2O = 90 : 10,
 25 140 mL (product eluted); MeOH = 40 mL; CHCl_3 : MeOH : H_2O = 90 : 18 : 10, 40 mL. Fractions containing pure product were combined and evaporated to give product as colorless thick liquid. Tertiary butanol (5 mL) was added, lyophilized and then dried in *vacuo* over P_2O_5 to give product as white fluffy solid (175 mg, 78% yield). ^1H NMR (DMSO- d_6 , 360 MHz) δ 0.85 (d, 2(CH_3), 6H; d, $\text{CH}_3\text{CHSSC}_6\text{H}_5$, 3H); 1.22 (s, 28(CH_2), 56H); 1.49 (br m, $\text{CH}_2\text{CH}_2\text{CO}$, 4H); 2.24 (2 x t, $\text{CH}_2\text{CH}_2\text{CO}$, 4H); 3.50 (s, PEG, 180H); 4.04 (br t, mPEG- CH_2 , 2H); 4.08 (trans d, $\text{PO}_4\text{CH}_2\text{CHCH}_2$, 1H); 4.27 (cis d, $\text{PO}_4\text{CH}_2\text{CHCH}_2$, 1H); 4.98 (s, $\text{C}_6\text{H}_5\text{CH}_2\text{OCO}$ -DSPE, 2H); 5.06 (br s, (PO₄CH₂CH, 1H); 7.34 (d, C_6H_5 , 2H); 7.53 (d, C_6H_5 , 2H); 7.55 (br s, mPEG-OCONH, 1H).

Example 3Alternative Synthesis of mPEG-S-Sulfenylcarbomethoxy (Scm)Derivatives (R=CH₃ or R=C₂H₅)

The reaction scheme is illustrated in Fig. 5. Synthesis of R-substituted
 5 aminoethanethiols from amino alcohols was accomplished according to Owen,
 T.C., *J. Chem. Soc., C*:1373 (1967).

1. Synthesis of methoxycarbonyldisulfanyl-1-2-propyl-ammonium chloride

Methoxycarbonyldisulfanyl-2-propylammonium chloride was prepared by
 10 reacting methoxycarbonylsulfenyl chloride with equivalent amount of amino-2-
 propanethiol hydrochloride according to the previously published procedures
 (Brois, *J Am Chem Soc* 92:7629 (1970); Koneko, et al., *Bioconjung Chem* 2:133-
 141, (1991)). Amino-2-propanethiol hydrochloride (1.83 ml, 19.44 mmol) in
 15 methanol (15 ml) was added dropwise (~1.0 ml/minute) to a cold (4°C) solution of
 methoxycarbonylsulfenyl chloride (Scm-Cl, 2.5 g, 19.6 mmol) in methanol (15 ml).
 The solution was stirred at room temperature for 3h and then rotary evaporated.
 The residue was taken up in acetone and then stripped of the solvent two more
 20 times resulting in formation of white solid. Silica-C8 (1 g) was added to the
 product solution in MeOH, which was then evaporated. The product-containing
 residue was added to the top of the C8-silica column, and was eluted with water
 under N₂ pressure. Fractions containing pure product were combined,
 evaporated, and lyophilized from *tert*-butanol (5 ml). It was further dried *in vacuo*
 over P₂O₅ to give product as white solid (2 g, 47% yield): ¹H MNR δ: 1.30 (d,
 25 CH₃CH, 3H), 2.94 (br t, CH₂NH₃Cl, 2H), 3.37 (q, CH₃CHCH₂NH₃Cl, 1H), 3.88 (s,
 CH₃OCO, 3H), 8.21 (br s, CH₃CHCH₂NH₃Cl, 3H) ppm.

2. Synthesis of mPEG5000-oxy carbonyl-2-aminopropyl-S-
 sulfenylcarbomethoxy (mPEG-S-Scm) mPEG-oxy carbonyl-2-aminobutyl-S-Scm.

MPEG-OH (15 g, 3 mmol) was dried by azeotropic removal of toluene (100
 30 ml). The solution was cooled, diluted with dichloromethane (40 ml) and treated
 with toluene solution of phosgene (10 ml, 19.3 mmol) at 4°C. The ice bath was
 removed and the reaction was stirred at room temperature overnight, and then
 evaporated to dryness leaving solid mPEG-chloroformate.

Methoxycarbonyldisulfanyl-2-propylammonium chloride (686.7 mg, 3.15 mmol)

and TEA (836.3 μ l, 6 mmol) were added to the mPEG-chloroformate in chloroform (30 ml). The reaction mixture was stirred at room temperature overnight and then evaporated. The residue was taken up in warm ethyl acetate (150 ml) and filtered to remove the TEA hydrochloride. The solvent was removed and the product was 5 purified by recrystallization from ethyl acetate/iso-propanol (100/100 ml, three times) and dried *in vacuo* over P_2O_5 yielding white solid (14.5 g, 93%). 1H NMR δ : 1.18 (d, CH_3CHSS_2 , 3H), 3.10 (m, CH_2NH , 2H), 3.24 (s, CH_3O , 3H), 3.50 (s, PEG, ~520H), 3.86 (s, $CH_3OCOSSCH$, 3H), 4.05 (t, $OCOCH_2$, 2H), 7.38 (t, $OCONH$, 1H) ppm.

10

Example 4

Synthesis of mPEG-DTB-lipid According to Fig. 6A

1, 2-distereoyl-sn-glycerol (500 mg, 0.8 mmol) was dried azeotropically with benzene (3 times). *Para*-nitrophenyl chloroformate (242 mg, 1.2 mmol, 1.5 eq), 15 dimethylaminopyridine (DMAP) (10 mg, 0.08 mmol, 0.1 eq), and TEA (334.5 μ l, 2.4 mmol, 3 eq) were added to 1, 2-distereoyl glycerol in $CHCl_3$ (5 mL). The reaction mixture was stirred at room temperature for 2 h. TLC (Toluene: ethyl acetate = 7: 3) showed that the reaction was complete. Then the product mixture was extracted with 10% citric acid to remove dimethylaminopyridine (DMAP), washed 20 with acetonitrile (3 mL, 4 times) to remove excess of *p*-nitrophenyl chloroformate. Pure product was dried *in vacuo* over P_2O_5 . Yield: 557 mg(88%). 1H NMR ($CHCl_3$, 360 MHz) δ 0.88 (t, end CH_3 , 6H); 1.25 (s, 28x CH_2 , 56H); 1.58 (m, CH_2CH_2CO , 4H); 2.34 (2xt, CH_2CO , 4H); 4.22 (trans d, $CH_2OCOC_{17}H_{35}$, 1H); 4.35 (m, $OCOOCH_2CH$, 2H); 4.51 (cis d, $CH_2OCOC_{17}H_{35}$, 1H); 5.37 (m, $OCOOCH_2CH$, 25 1H); 7.39 (d, C_6H_5 , 2H); 8.28 (d, C_6H_5 , 2H).

Ethylene diamine (42 μ l, 0.63 mmol, 5 fold excess), and pyridine (200 μ l, 30 were added in $CHCl_3$ (1 mL). 2-disteroyl-sn-p-nitrophenyl carbonate (100 mg, 0.13 mmol) was dissolved in $CHCl_3$ (1 mL) and added dropwise to ethylene diamine solution with a pastuer pipette at 0°C (ice water) and continued overnight (16 h). TLC ($CHCl_3$: MeOH: H_2O 90: 18: 2, and $CHCl_3$: MeOH = 90: 10) showed that the reaction was complete. Solvent was evaporated to remove pyridine. Then the product mixture was dissolved in $CHCl_3$, loaded onto the column (Aldrich, Silica gel, 60°A, 200-400 mesh), and eluted with $CHCl_3$: CH_3COCH_3 , and $CHCl_3$: MeOH

gradient, CHCl_3 : CH_3COCH_3 = 90: 10, 60 mL (upper spot eluted); CHCl_3 : NeOH = 90: 10, 60 mL (product eluted). Fractions containing pure product were combined and evaporated. Tert-butanol was added and dried in *vacuo* over P_2O_5 . Yield: 64 mg (75%). ^1H NMR ($\text{DMSO-}d_6$, 360 MHz) δ .83 (t, end CH_3 , 6H); 1.22 (s, 28x CH_2 , 56H); 1.51 (m, $\text{CH}_2\text{CH}_2\text{CO}$, 4H); 2.25 (2xt, CH_2CO , 4H); 2.83 (m, $\text{H}_2\text{NCH}_2\text{CH}_2\text{NH}$, 2H); 3.21 (m, $\text{H}_2\text{NCH}_2\text{CH}_2\text{NH}$, 2H); 4.10-4.14 (m & cis d, $\text{COOCH}_2\text{CHCH}_2$, 4H); 5.17 (m, OCOOCH_2CH , 1H); 7.78 (m, $\text{H}_2\text{NCH}_2\text{CH}_2\text{NH}$, 2H).

mPEG-MeDTB-nitrophenylchloroformate (400 mg, 0.162 mmol, 2.2 eq) was dissolved in CHCl_3 in (2 mL). 1,2-steroyl-sn-ethylene amine (51 mg, 0.075 mmol) and TEA (37 μl , 0.264 mmol, 3.52 eq) were added to the solution. Then the reaction mixture was stirred at 45°C for 20 minutes. TLC (CHCl_3 : MeOH : H_2O = 90: 18: 2, and CHCl_3 : MeOH = 90: 10) showed that the reaction went to completion. Solvent was evaporated. The product mixture was dissolved in methanol. 2 g of C8 silica was added and then solvent was evaporated. C8 silica containing product mixture was added on the top of the C8 column ((Supelco, Supel clean. Lot no. SP0824), and was eluted with MeOH : H_2O gradient (pressure), MeOH : H_2O = 60: 40, 40 mL; MeOH : H_2O = 70: 30, 80 mL (starting material eluted); MeOH : H_2O = 80: 20, 40 mL; MeOH : H_2O = 90: 10 = 20 mL; CHCl_3 : MeOH : H_2O = 5: 80: 15, 20 mL; CHCl_3 : MeOH : H_2O = 90: 18: 10, 40 mL (product eluted). Fractions containing pure product were combined and evaporated to give product as colorless thick liquid. Tertiary butanol (5 mL) was added and the solution was lyophilized and then dried in *vacuo* over P_2O_5 to give product as white solid (200 mg, 89% yield). ^1H NMR ($\text{DMSO-}d_6$, 360 MHz) δ .83 (t, end CH_3 , 6H); 1.22 (s, 28x CH_2 , 56H); 1.48 (m, $\text{CH}_2\text{CH}_2\text{CO}$, 4H); 2.25 (2 x t, CH_2CO , 4H); 3.10 (m, $\text{HNCH}_2\text{CH}_2\text{NH}$, 4H); 3.50 (s, PEG, 180H); 4.04 (t, mPEG- CH_2 , 2H); 4.09 (trans d, $\text{COOCH}_2\text{CHCH}_2$, 1H); 4.25 (cis d, $\text{COOCH}_2\text{CHCH}_2$, 1H); 4.98 (s, $\text{C}_6\text{H}_5\text{CH}_2\text{OCO}$, 2H); 5.23 (m, $\text{COOCH}_2\text{CHCH}_2$, 1H); 7.18 (m, $\text{NHCH}_2\text{CH}_2\text{NH}$, 2H); 7.33 (d, C_6H_5 , 2H); 7.38 (m, mPEG-OCONH, 1H); 7.52 (d, C_6H_5 , 2H).

30

Example 5

In vitro Cleavage of mPEG-DTB-DSPE Compound

Ortho-mPEG-DTB-DSPE and *para*- mPEG-DTB-DSPE (prepared as described in Examples 1 and 2) were added to a buffered aqueous solution (pH

7.2) in the presence and absence of cysteine. Disappearance of the conjugates was monitored by HPLC (Phenomenex C₈ Prodigy, 4.6 x 50 mm column, detection at 277 nm, mobile phase methanol / water 95:5 with 0.1% trifluoroacetic acid at 1 mL/min). The results are illustrated in Fig. 7 where the *ortho*-conjugates are 5 represented by the open symbols and the *para*-conjugates by the closed symbols.

Example 6

In vitro Cleavage of various mPEG-DTB-DSPE Conjugate in Liposomes

A. Liposome Preparation

10 The lipids dioleoyl phosphatidylethanolamine (DOPE) and *ortho*- or *para*-mPEG-DTB-DSPE (prepared as described in Examples 1, 2, and 4, mPEG MW=2000 Daltons) were dissolved at 97:3 molar ratio in chloroform/methanol 1:1. The solvent was removed by rotary evaporation to form a dried lipid film. The lipid film was hydrated with an aqueous solution containing 30 mM each of the 15 fluorophores *p*-xylene-bis-pyridinium bromide and trisodium 8-hydroxypyrenetrisulfonate. was hydrated with aqueous buffer to from liposomes that were sized via extrusion to an average diameter of 100 nm.

B. *In vitro* Characterization

20 The liposomes were incubated in HEPES buffer, pH 7.2, at 37°C in the presence of cysteine at concentrations ranging from 15 μ M to 15 mM. Percent of released dye was determined as the increase in sample fluorescence (λ_{em} =512 nm, λ_{ex} =413 nm - pH-independent isobestic point) over that of the preincubation sample (zero release) normalized to the increase in fluorescence obtained after 25 lysis of preincubation sample with 0.2% Triton X-100 (100% release) (Kirpotin, D. et al., *FEBS Letters*, 388:115-118 (1996)). Results of various cysteine-mediated cleavage experiments are shown in Figs. 8 and 9.

Example 7

In vivo Characterization of Liposomes comprising mPEG-DTB-DSPE Conjugates

A. Liposome Preparation

The lipids partially hydrogenated phosphatidylcholine (PHPC), cholesterol and either non-cleavable mPEG-DSPE or *para*-mPEG-DTB-DSPE (R=H, CH₃,

C_2H_5 , all derived from mPEG MW=2000 Daltons) were dissolved in a 55:40:5 mole percent ratio, respectively, in an organic solvent. The solvent was removed by rotary evaporation to form a dried lipid film. The film was hydrated with aqueous buffer containing diethylene triamine pentacetic acid (EDTA) to form liposomes.

5 After downsizing the liposomes to an average diameter of 120 nm unentrapped EDTA was removed and liposomes were labeled with In^{111} that was entrapped in the internal aqueous compartment.

10 **B. In vivo Administration**

Mice were divided into five study groups, each group for a different liposomal formulation varying the lipopolymer or a conventional formulation without a lipopolymer. The liposome compositions were injected intravenously into test animals. Liposome content in the blood was determined by monitoring blood samples for In^{111} . The results are shown in Fig. 10.

15

Example 8

Preparation and Purification of PEG-Lysozyme Conjugates

Lysozyme (at final concentration of 10 mg/mL) was allowed to react in borate buffer (0.1 M, pH 8.0) at 25°C for 2-5 h with either mPEG-MeDTB-NPC of 20 mPEG-NPC, using the feed molar ratio of 3.5 PEG/lysozyme (0.5 PEG/amino group). The conjugation reactions were quenched by the addition of 10-fold excess of glycine.

PEG-lysozyme conjugates were purified on a CM HEMA-IEC Bio 1000 semi-preparative HPLC column (7.5 x 150 mm) purchased from Alltech 25 Associates, Deerfield, IL. First, the conjugation reaction was injected into the HPLC column in 10 mM sodium acetate buffer pH 6. The elution with this buffer was continued until all unreacted PEG was removed. Then 0.2 M NaCl in 10 mM sodium acetate pH 6 was applied for 15 minutes in order to elute the PEGylated-lysozyme. Finally, the native lysozyme was eluted by increasing the salt 30 concentration to 0.5 M NaCl over 20 min. Fractions (1 mL) were collected and assayed for protein and PEG contents. Thus aliquots (25 μL) of each fraction were reacted with BCA protein assay reagent (200 μL , Pierce Chemical Company, Rockford, IL) in microtiter plate wells at 37°C for 30 min, and the absorbance was read at 562 nm. Similarly, for PEG determination (Zalipsky, S., *PEG Chemistry*

and *Biol Appl ACS* pps. 318-341, (1997)) 25 μ L aliquots were reacted with 0.1% polymethacrylic acid solution in 1 N HCl (200 μ L), in microtiter plate wells, followed by absorbance reading at 400 nm. Fractions containing both protein and PEG were pooled. For the isolation of the PEG-lysozyme containing only one PEG moiety, the same cation exchange chromatography protocol was used and the collected fractions were analyzed by the HPLC reversed-phase assay. Fractions containing the single peak of 1:1 PEG per lysozyme conjugate species were pooled.

10 **Reverse-phase HPLC analysis.** RP-HPLC separation of PEG-lysozyme conjugates was achieved on a Vydac™ Protein C4 (4.6 x 150 mm) column eluted at 1 ml/min with aqueous acetonitrile gradient as follows. Each chromatography run started with a mobile phase A (30% acetonitrile, 5% 0.1 M sodium acetate pH 5, and 65% H₂O), and over a period of 40 min ended with a mobile phase B (50% acetonitrile, 50% 0.1 M sodium acetate pH 5). UV detector set at 280 nm was used to monitor the chromatography.

15 **SDS-PAGE.** Polyacrylamide gel electrophoresis under denaturing conditions was performed for conjugates characterization. Pre-cast NuPAGE® Bis-Tris gels (4-15%), NuPAGE® MES running buffer, molecular weight protein standards (Mark 12™), and Colloidal Coomassie® G-250 staining kit, were all obtained from Invitrogen, Carlsbad, CA. In a typical electrophoresis, 1 to 3 μ g of protein containing sample were loaded per well on the gel, then electrophoresed at constant voltage of 200 mV, and stained for protein according to the manufacturer 20 instructions. For PEG detection, a duplicate gel was stained with iodine according to Kurfürst, M.M., *Analyt Biochem* 200:244-248, (1992).

25 **DePEGylation experiments.** Mixture of purified mPEG-DTB-lysozyme conjugates (2 mg/mL protein), containing 1:1, 2:1, and 3:1 conjugate species, was incubated with 1 mM cysteine in 0.1 M sodium phosphate buffer pH 7.3 containing 5 mM EDTA, at 37°C on a rocking mixer. Aliquots were taken out from the reaction vial at various time points and analyzed by RP-HPLC as described above (see Fig. 13A). Recovery of enzymatic activity of lysozyme after de-PEGylation of mPEG-DTB-Lysozyme is demonstrated in Fig. 13B. This is in contrast to the non-

cleavable mPEG-Lysozyme that remained inactive regardless of the Cys treatment. De-PEGylation of the 1:1 PEG-lysozyme (0.5 mg/ml protein) treated at room temperature with 1 mM cysteine or glutathione was also followed by the same RP-HPLC method.

5

LC-MS. Purified PEG-DTB-lysozyme containing only one PEG moiety per lysozyme was treated with 1 mM cysteine while the reaction was monitored by LC-MS. The HPLC system (HP 1100 LC-102) equipped with the same C4 column as described above was connected to an electrospray mass spectrometer (Micromass QTOF II), permitting peaks identification by their molecular weights. The sodium acetate in the mobile phase was replaced with ammonium acetate in order to minimize salt interference with the electrospray ionization. As shown in Fig. 14, identical spectra was obtained for the native lysozyme and for de-PEGylated mPEG-DTB-Lysozyme. This study confirms that the thiolytic de-PEGylation reaction used in this invention proceeds cleanly without detectable protein-by products.

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Example 9
Preparation of mPEG-DTB-EPO Conjugates

20 Stock solutions of 16 mM mPEG₁₂₀₀₀-MeDTB-NPC(199.6 mg/mL) and mPEG12k-NPC (195.3 mg/mL) in acetonitrile were prepared.

Recombinant, human erythropoietin (EPO, EPREX[®]) was obtained preformulated at a protein concentration of 2.77 mg/mL in 20 mM Na citrate, 100 mM NaCl buffer pH 6.9.

25 A borate buffer (pH 8) was prepared as follows. 500 mM Na borate solution was made by dissolving 4.77 mg of sodium tetraborate in 100 dH₂O. The buffer was filtered though 0.45 µm filter. The final concentration was 500 mM of Na borate.

30 A phosphate buffer pH 7 was prepared as follows. 500 mM Na phosphate solution was made by dissolving 13.45 mg of sodium phosphate monobasic monohydrate and 54.6 mg of sodium phosphate dibasic, 12H₂O in dH₂O, and the pH was adjusted to 7.0 using 5 N NaOH. The buffer was filtered though 0.45 µm filter. The final concentrations was 500 mM of Na phosphate.

A. Preparation of "Lightly PEGylated" EPO

EPO was conjugated to mPEG₁₂₀₀₀-MeDTB-NPC at a PEG/protein molar ratio of 6 / 1 with the amount of reactants set forth below:

	Initial Conc.	Volume	Final Conc.
EPO	2.77 mg/mL	7.22 mL	2 mg/mL (0.066 mM)
dH ₂ O		0.53 mL	
PO ₄ buffer	500 mM	2 mL	100 mM
mPEG ₁₂₀₀₀ -MeDTB-NPC	PEG	16 mM	0.4 mM
	Acetonitrile	100%	2.5%
Reaction volume		10 mL	
PEG / EPO molar ratio			6 / 1

5

EPO was conjugated to mPEG₁₂₀₀₀-NPC at a PEG/protein molar ratio of 8 / 1 with the amount of reactants set forth below:

Reactant	Initial Conc.	Volume	Final Conc.
EPO	2.77 mg/mL	7.22 mL	2 mg/mL (0.066 mM)
dH ₂ O		0.45 mL	
PO ₄ buffer	500 mM	2 mL	100 mM
mPEG ₁₂₀₀₀ -NPC	PEG	16 mM	0.4 mM
	Acetonitrile	100%	2.5%
Reaction volume		10 mL	
PEG / EPO molar ratio			8 / 1

10

The reactions were carried out at room temperature (20–22 °C) for 4.5 hours in polypropylene vials, on a rocking mixer. At the end of the incubations, the vials were stored at 2–8 °C.

A. Preparation of "Lightly PEGylated" EPO

15

EPO was conjugated to mPEG₁₂₀₀₀-MeDTB-NPC at a PEG/protein molar ratio of 9 / 1 with the amount of reactants set forth below:

		Initial Concentration	Volume	Final Concentration
EPO		2.77 mg/mL	5.05 mL	2 mg/mL (0.066 mM)
dH ₂ O			0.29 mL	
Borate buffer pH 8		500 mM	1.4 mL	100 mM
mPEG ₁₂₀₀₀ -MeDTB-NPC	PEG	16 mM	0.262 mL	0.6 mM
	Acetonitrile	100%		2.5%
Reaction volume			7 mL	
PEG / EPO molar ratio				9 / 1

EPO was conjugated to mPEG₁₂₀₀₀-NPC at a PEG/protein molar ratio of 12 / 1 with the amount of reactants set forth below:

5

		Initial Concentration	Volume	Final Concentration
EPO		2.77 mg/mL	5.05 mL	2 mg/mL (0.066 mM)
dH ₂ O			0.2 mL	
Borate buffer pH 8		500 mM	1.4 mL	100 mM
mPEG ₁₂₀₀₀ -MeDTB-NPC	PEG	16 mM	0.35 mL	0.8 mM
	Acetonitrile	100%		2.5%
Reaction volume			7 mL	
PEG / EPO molar ratio				12 / 1

The reactions were carried out at room temperature (20–22 °C) for 4.5 hours in polypropylene vials, on a rocking mixer. At the end of the incubations, the vials were stored at 2–8 °C

10

C. Purification and Concentration

Prior to purification, the conjugates were dialyzed in 20 mM Tris pH 7.5 buffer and filtered through 0.2 µm Acrodisc® HT Tuffryn low protein binding syringe filter. The purification was done on a 1 mL Q XL anion exchanger column obtained from Amersham Biosciences Corp. (Piscataway, New Jersey), using a step gradient elution profile from mobile phase A containing 20 mM Tris pH 7.5 buffer, to mobile phase B containing 500 mM NaCl in 20 mM Tris pH 7.5 buffer. The gradient was: 100 % A for 8 minutes, 18 % B for 25 minutes, then 70 % B for 10 minutes. Elution fractions were collected in polypropylene tubes at 1 mL per

fraction. The fractions eluting at 18 % of mobile phase B (90 mM NaCl) were identified as the purified conjugates fractions (10 fractions), pooled in one tube, and stored at 2-8 °C.

5 The purified mPEG₁₂₀₀₀-MeDTB-EPO and mPEG₁₂₀₀₀-EPO were dialyzed in 20 mM sodium citrate, 100 mM NaCl buffer pH 6.9 (4 exchanges of 4 L buffer), using a Spectra/Por 6000-8000 MW cutoff dialysis tubing. A 10 mL Amicon concentrator with a YM10 membrane were used to bring down each sample volume from 10 to approximately 4.5 mL, under 45-50 psi nitrogen pressure.

10 **D. Characterization**

1. SDS-PAGE

The PEGylated-EPO preparations were run on two SDS-PAGE gels as follows. Three μ g of each reaction solution were loaded per well on a NuPAGE® Bis-Tris 4-12% gel purchased from Invitrogen (Carlsbad, CA), and let run for 55 minutes in MOPS running buffer at 200 Volts (constant voltage). EPO reference was loaded at 1.5 μ g / well.

One of the gels was washed with 3 x 100 ml dH₂O, transferred to a Simply Blue™ stain for 1 hour, while gently shaking, then let soaked for 18 hours in 100 ml dH₂O containing 20 ml of 20% NaCl.

20 The other gel was stained with iodine for PEG detection, as follows. The gel was let sit in 200 mL of 5% glutaraldehyde solution for 20 minutes, then soaked twice in 200 mL of 0.1 M perchloric acid solution for 15 minutes each. To the second acid bath, 50 mL of 5% barium chloride and 25 mL of 1.3% iodine (made in 2% potassium iodide) solutions were added for 20 minutes (light protected). The gel was washed for 20 minutes in dH₂O, and scanned shortly after. All incubation baths were on a gentle rotating shaker. The results are shown in Figs. 17A-17B.

25 **2. SEC-HPLC**

30 The PEGylated-EPO preparations were analyzed by size exclusion chromatography using TSK-Gel G3000SW XL 7.5 x 30 cm HPLC column obtained from Tosoh Bioscience. The mobile phase was 50 mM sodium phosphate, 150 mM NaCl pH 6.5. Samples were diluted to 50 μ g/mL in the mobile phase, and 50 μ L of each were injected to the column and eluted at a flow rate of 0.5 mL/min for

30 minutes. The column was connected to an on-line fluorescence detector set for intrinsic tryptophan detection (Ex λ = 260 nm, Em λ = 360 nm). The results are shown in Figs. 18A-18D.

5

Example 10

Preparation of Exemplary mPEG-DTB-Drug Conjugates

A. mPEG-DTB-Mitomycin C (Fig. 20A)

A 50 mL round bottom flask charged with phosgene (3 mmol) solution in toluene (5 mL). It was cooled to 5 °C. A solution of mPEG_{12K}-MeDTB-OH (prepared as in Example 2, 3.8 g, 0.31 mmol) in dry methylene chloride (10 mL) was prepared. The alcohol solution was then added slowly to the phosgene solution. The reaction solution was allowed to warm to room temperature overnight. The solution was rotary evaporated *in vacuo* to remove the excess phosgene. The crude acyl chloride was redissolved in dry methylene chloride (10 mL).

A solution of mitomycin C (MMC, 0.35 mmol), dimethylaminopyridine (0.1 mmol) and dimethylformamide (DMF, 2 mL) was prepared. The MMC solution was slowly added to the acyl chloride solution. After overnight reaction, the methylene chloride was evaporated off and the crude product was recovered by addition of isopropanol followed by filtration. The mPEG-DTB-MMC conjugate was further purified by two precipitation from ethanol. Yield: 3.4 g. The MMC content (\approx 90%) was determined by UV. The conjugate was further characterized by ¹H NMR (360 MHz, CDCl₃): δ 1.18 (d, J = 7.5, 3H), 1.76 (s, 3H), 2.96-3.03 (m, 3H), 3.19 (s, 3 H), 3.29 (dd, J = 4.7 and 2.9 Hz, 1 H), 3.41 (dd, J = 5.0 and 2.2 Hz, 1 H), 3.48 (dd, J = 13.7 and 2.5 Hz, 1 H), 3.60 (s, PEG, 1000H), 4.15 (t, OCOCH₂, 2H), 4.27 - 4.36 (m, 2 H), 4.43 (d, J = 13.3 Hz, 1H), 4.61 (s, 2H), 4.90 (ddd, J = 10.4 and 5.0 and 2.2 Hz, 1 H), 5.00 (s, 2H), 7.32 (d, J = 8.6 Hz, 2 H), 7.50 (d, J = 7.9 Hz, 2 H).

B. mPEG-DTB-Paclitaxel (Fig. 20C)

A solution of mPEG_{12K}-MeDTB-OH (3 g, 0.25 mmol) in dry methylene chloride (7 mL) was treated with 20 % phosgene solution in toluene (2 mmol, 1 mL) at 5 °C overnight. During this time the solution was allowed to warm to room temperature overnight. The solution was rotary evaporated *in vacuo* to remove the excess phosgene. The crude acyl chloride was redissolved in dry methylene

chloride (7 mL) and gradually added to paclitaxel (0.43 g, 0.5 mmol) solution in dry pyridine (10 mL). After overnight reaction, the methylene chloride was rotary evaporated and the crude product was recovered by addition of isopropanol followed by filtration. The mPEG-MeDTB-paclitaxel conjugate was further purified 5 by two precipitation from ethanol. Yield: 2.6 g. The product was characterized by NMR (CDCl_3), which suggested that 2'-carbonate of paclitaxel was formed (C-2' proton of the drug at 4.7 ppm appeared as a doublet at 5.5 ppm in the conjugate). All the characteristic signals of mPEG-MeDTB and the drug, in close to 1:1 ratio, were also observed.

10 Although the invention has been described with respect to particular embodiments, it will be apparent to those skilled in the art that various changes and modifications can be made without departing from the invention.